

# Research progress of high precision and selective atomic layer etching technology

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**Abstract:** With the rapid development of semiconductor technology, the critical dimensions of chips continue to shrink. Complex three-dimensional structures represented by fin field-effect transistors (FinFETs) and three-dimensional NAND flash memory have put extremely high demands on the etching process. Traditional dry etching technology is increasingly unable to meet the requirements, especially in terms of high precision, low-damage etching, and achieving etching selectivity between different materials. As a high-precision atomic-scale microfabrication technology, atomic layer etching (ALE) has gradually become one of the key technologies in semiconductor manufacturing. This paper reviews the unique advantages, basic principles, and classification of ALE technology, with a focus on elaborating the ALE processes for different material systems, the application of selective ALE in advanced semiconductor device manufacturing, and the application of ALE in the field of selective deposition.

**Key words:** atomic layer etching; etching selectivity; isotropic; self-limiting reaction

## 0 Introduction

Currently, the physical feature sizes of micro-nano electronic devices in advanced integrated circuits have crossed the 10 nm mark. Semiconductor devices based on metal-oxide-semiconductor field-effect transistors (MOSFETs) are gradually approaching their physical limits, posing great challenges to the semiconductor industry<sup>[1-2]</sup>. The complete integrated circuit manufacturing process is usually divided into front-end wafer fabrication

and back-end packaging. Front-end wafer fabrication involves processes such as photoresist coating, exposure, development, etching, thin film deposition, doping, oxidation, diffusion, cleaning, and metrology<sup>[3]</sup>, with complex processes and high requirements for equipment. Among them, etching, photolithography, and thin film deposition are listed as the three core processes in wafer fabrication. The role of etching is to carve out the three-dimensional microstructures required for integrated circuits on the substrate and other

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materials on the wafer surface through physical and chemical methods, transferring the mask pattern to the wafer surface. Etching technology has played a crucial role in promoting and continuing Moore's Law, making significant contributions to the reduction of transistor size and the improvement of performance<sup>[4]</sup>.

With the upgrading of integrated circuit processes, high-aspect-ratio and even three-dimensional micro-nano structures (such as fin field-effect transistors (FinFETs) and three-dimensional NAND flash memory) have put higher requirements on existing etching processes. Especially when the feature size is less than 10 nm, the limitations of wet etching in line width control, etching precision, and directionality have gradually become apparent, and it has been gradually replaced by dry etching<sup>[5]</sup>. Fig. 1 summarizes the development of etching technologies and the characteristics of various methods. With technological progress, dry etching has improved in etching anisotropy and precision, which is conducive to the construction of fine nanostructures. However, within the next 10 years, the acceptable feature size precision of micro-nano electronic devices is expected to reach the order of 3-4 silicon atoms. In addition to geometric precision, the impact of surface defects cannot be ignored, because the surface has become an important part of the device and has a significant impact on its electronic performance<sup>[6]</sup>. Therefore, there is an urgent need to develop new high-precision etching technologies to meet the growing demands. In the current semiconductor industry, most etching processes require directional material removal, among which the most widely used technology is reactive ion etching (RIE), which belongs to a type of dry etching. In the RIE process, ions and neutral beams are accelerated

to bombard the wafer surface to remove the thin film on the surface<sup>[7]</sup>. During etching, reactive ions interact with electrons, neutral particles, etc., at the reaction interface simultaneously, so RIE is called "continuous" etching<sup>[4]</sup>. In the continuous etching process, the ions and neutral particles involved in the interface reaction interact with reaction products and substrate atoms, leading to the formation of a mixed layer film at the interface<sup>[8]</sup>. The existence of this mixed layer may reduce the etching rate, increase surface roughness, or leave non-volatile residues, thereby reducing etching precision<sup>[7-9]</sup>.

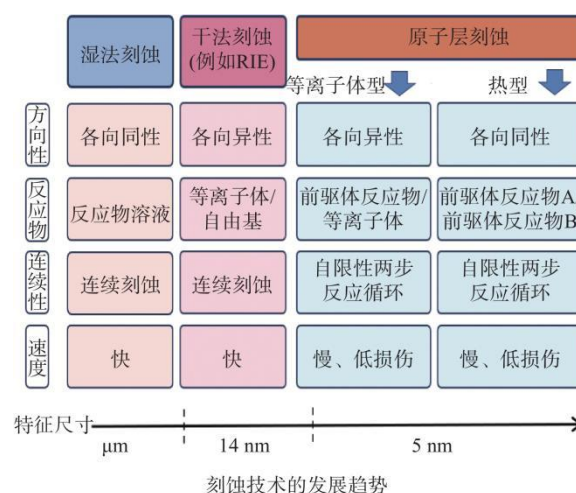


Fig. 1 Development trend and characteristics of etching technology

The Bosch process avoids the drawbacks of continuous etching by alternately switching etching gas and passivation gas, using a periodic "etching-passivation-etching" cycle<sup>[10]</sup>, but it still cannot solve the problems of low etching precision, high surface roughness, and insufficient etching selectivity. As the thickness of thin films in advanced nanodevices approaches 2-3 nm, how to achieve atomic layer-scale etching precision control, improve the etching selectivity of different materials, and obtain low-damage and low-roughness surfaces have become key issues that need to be solved urgently in future etching technologies.



Atomic layer etching (ALE) technology is an advanced etching method that can meet the above requirements. As a technology with atomic layer-level etching precision, ALE can be combined with atomic layer deposition (ALD) to achieve "atomic-level manufacturing". This paper mainly introduces the unique advantages of ALE technology compared with existing dry etching technologies, the principles and classification of ALE in different material systems, and its applications in selective etching of complex nanostructures and area-selective deposition. At the same time, the following issues are focused on: (1) How to use self-limiting reactions to achieve high-precision etching of different materials; (2) How to achieve etching selectivity between different materials; (3) The combination of ALE technology and ALD technology and their applications.

## 1 Principles and classification of ALE

Initially, ALE was implemented on commercial RIE platforms<sup>[11]</sup>, representing a specialized process window that weakens surface atom-substrate bonds for near-monolayer removal. After three decades of development, ALE's fundamental principles, reaction characteristics, technical routes, and applications have been extensively studied. This section systematically reviews ALE's principles and classifications.

### 1.1 Principles of ALE

A typical ALE process comprises two half-reactions (Fig. 2)<sup>[12]</sup>: Half-reaction A modifies the topmost atomic layer using a first precursor to weaken its bonding to the substrate; Half-reaction B either reacts with the modified layer to form volatile products (thermal ALE) or bombards it with ions/neutrals (plasma-enhanced ALE), exposing the next layer. Cyclic execution of these half-reactions enables

atomic-scale layer-by-layer etching with precise control<sup>[13]</sup>. A purge step between half-reactions removes excess reactants and byproducts.

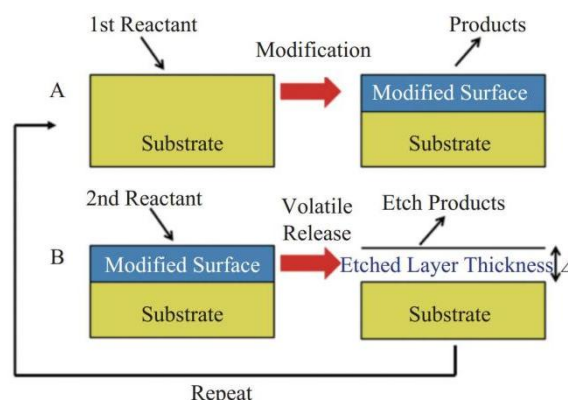


Fig. 2 Schematic diagram of ALE process based on sequential self-limited surface modification and volatile release reactions<sup>[12]</sup>

The biggest difference between ALE technology and continuous etching technologies such as RIE is that ALE decomposes the continuous etching process into at least two half-reactions with self-limiting properties<sup>[11]</sup>. For thermal ALE, the etching process consists of two or more interface chemical reactions<sup>[14-15]</sup>; for plasma-based ALE, the surface modification and physical bombardment steps are independent, which is significantly different from the process in RIE where chemical etching and physical etching act synergistically<sup>[6, 16]</sup>. The separation between the two half-reactions helps eliminate mutual interference between the surface modification and modified layer removal steps, controls the order of surface reactions, enables self-limiting reactions, and avoids the interface mixed layer formed in continuous RIE and the resulting uncontrollable etching effects<sup>[17]</sup>. In self-limiting reactions, the precursor only reacts with the outermost atoms of the material, limiting the etching thickness to the range of several atomic layers<sup>[18]</sup>, significantly improving etching precision and helping to obtain high-quality, low-damage flat



surfaces<sup>[19]</sup>. Therefore, ALE technology shows unique advantages in achieving ultra-fine pattern transfer, high-aspect-ratio etching, and high-quality etched surface preparation<sup>[20]</sup>.

## 1.2 Classification of ALE technology

Based on different modified layer removal methods, ALE can be divided into thermal ALE and plasma-based ALE. For different material types, the mechanisms of thermal ALE mainly include ligand exchange ( $\text{Al}_2\text{O}_3$ ,  $\text{HfO}_2$ ,  $\text{ZrO}_3$ ,  $\text{AlN}$ ,  $\text{AlF}_3$ ), conversion reaction ( $\text{SiO}_2$ ,  $\text{ZnO}$ ,  $\text{WO}_3$ ,  $\text{TiO}_2$ ), oxidation and fluorination reactions ( $\text{TiN}$ ,  $\text{W}$ ), etc.<sup>[12, 17]</sup>. This technology can achieve high etching precision while achieving a high etching selectivity ratio between different materials. In addition, since it consists entirely of gas-phase chemical reactions, thermal ALE has isotropic characteristics<sup>[14]</sup>. The most widely used method in plasma-based ALE is to reduce the bonding force between the outermost atoms of the thin film to be etched and the matrix through surface chlorination or fluorination, and then use low-energy  $\text{Ar}^+$  ions or neutral beams to bombard and remove the modified layer<sup>[21]</sup>. For example, in the etching process of silicon thin films, chlorine gas is first introduced to generate  $\text{Si}-\text{Cl}$  bonds (4.2 eV) on the wafer surface. The charge transfer effect reduces the  $\text{Si}-\text{Si}$  bonding energy under the  $\text{SiCl}_x$  layer from 3.4 eV to 2.3 eV<sup>[22-23]</sup>, thus forming a process window (2.3-3.4 eV) for removing the surface modified layer. The plasma bombardment energy required to remove the modified layer is much lower than that of RIE, which can ensure better surface quality and lower damage. In addition, the generation of interface mixed layers is avoided in the separated two-step reaction, making the reaction more stable and controllable<sup>[11]</sup>. At the same time, the anisotropic etching ability makes plasma-

enhanced ALE more suitable for etching high-aspect-ratio nanostructures<sup>[4]</sup>.

## 2 Development history of ALE technology

The early development of ALE technology can be regarded as an exploration of alternatives to RIE, so plasma-based ALE technology was developed initially. Fig. 3 shows important development nodes of ALE technology. The earliest ALE technology was first proposed by Yoder<sup>[24]</sup> in 1988. By modifying diamond films with nitrogen oxides and using rare gas ion bombardment to remove the outermost atoms of the film, they achieved atomic layer etching and applied for a US patent. Another early study was the "bilayer etching" of gallium arsenide using chlorine chemisorption reported in 1989<sup>[25]</sup>. In 1990, Horiike et al.<sup>[26]</sup> were the first to carry out research on the silicon ALE process. They limited the spontaneous etching of the wafer at  $-60\text{ }^\circ\text{C}$ , and realized atomic layer etching on the wafer surface by fluorinating the wafer surface with  $\text{CF}_4$  plasma and removing the modified layer with  $\text{Ar}^+$  ions<sup>[26]</sup>. In 1993, Matsuura et al.<sup>[27]</sup> achieved self-limiting layer-by-layer etching of silicon through alternating chlorine adsorption and  $\text{Ar}^+$  ion bombardment at room temperature. In 2008, Park et al.<sup>[28]</sup> realized self-limiting etching of high- $k$  dielectric layers using  $\text{BCl}_3$  adsorption and  $\text{Ar}$  neutral beams, with an etching rate of  $0.12\text{ nm}\cdot\text{cycle}^{-1}$ . Studies have shown that compared with RIE, atomic layer etching can avoid the hafnium enrichment on the  $\text{HfO}_2$  surface and minimize etching damage<sup>[28]</sup>. In 2014, the semiconductor manufacturing technology research alliance (SEMATECH) held the first ALE seminar in the United States, attracting extensive attention from academia and industry. In 2016, Lam Research announced that plasma-enhanced ALE dielectric film etching had achieved mass



production of 10 nm logic devices<sup>[21]</sup>. Up to now, ALE technology has been widely applied to important material systems such as metal oxides, III-V semiconductors, metal nitrides,

and metal elements<sup>[14, 25-26, 28-35]</sup>, showing broad application potential in integrated circuits, biomedicine, sensor technology, and other fields.

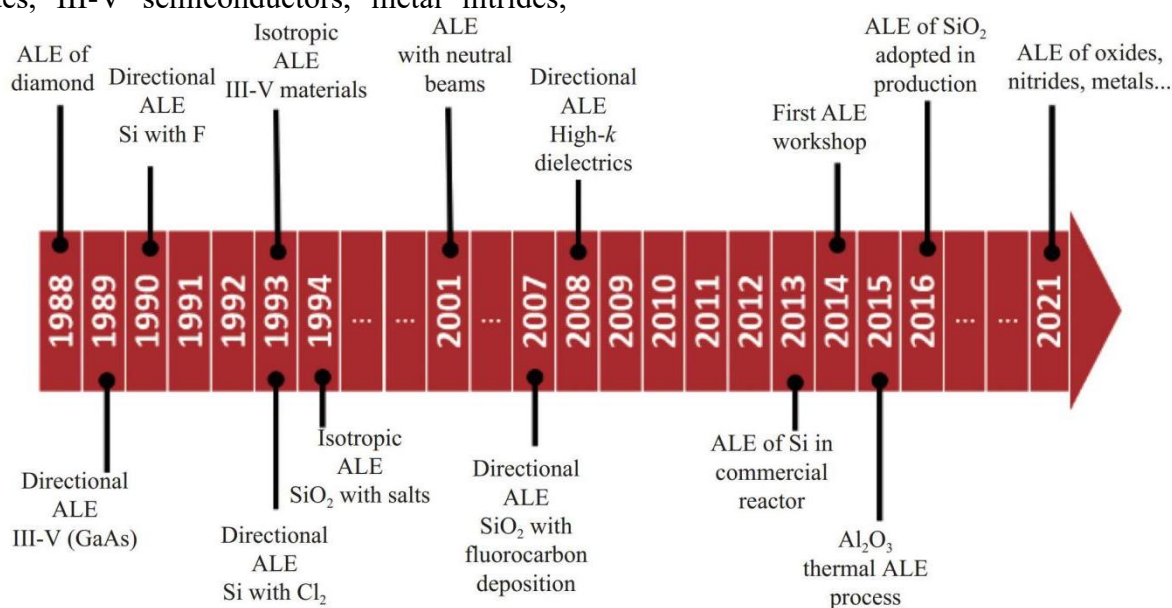


Fig. 3 Development history of atomic layer etching technology

### 3 Characteristics and applications of ALE technology

The development of ALE processes for different materials is the core of ALE technology development, which helps explore the ALE reaction mechanisms of different material systems and clarify the influence of different reaction parameters on self-limiting characteristics and etching rates. More importantly, researchers can use different ALE mechanisms to achieve selective removal between different materials or selective deposition on different substrate surfaces, making ALE a powerful tool in advanced semiconductor device manufacturing. The following introduces the ALE process principles and applications of several common nanoscale thin film materials.

#### 3.1 ALE processes for silicon and silicon oxide materials

As the cornerstone of the semiconductor industry, the ALE principles and methods of silicon-based materials have been extensively studied. As mentioned earlier, ALE of silicon mainly uses chlorine to react with silicon thin films to generate Si—Cl bonds, reducing the bonding energy of Si—Si bonds under the SiCl<sub>x</sub> layer through charge transfer<sup>[22-23]</sup>, thereby weakening the bonding between the outermost Si atoms and the substrate. Studies by Oh et al.<sup>[22]</sup> have shown that when 50 eV Ar neutral particle beams are used to bombard the wafer surface, only the surface chlorinated layer is removed without sputtering damage to the underlying silicon, finally achieving an etching rate of approximately 0.136 nm·cycle<sup>-1</sup>, and the etching amount per cycle is equivalent to the thickness of a single atomic layer of (100)-oriented silicon<sup>[22]</sup>. For the ALE process of SiO<sub>2</sub>, since the bonding energy of Si—O bonds (about 6.4 eV) is higher than that of Si—Cl bonds, chlorination reactions are difficult to weaken the Si—O bonding in the SiO<sub>2</sub> surface layer. In



early studies, Flamm et al.<sup>[36]</sup> found that the bonding of F atoms with SiO<sub>2</sub> only needs to overcome an energy barrier of about 0.163 eV, so fluorination reactions can proceed spontaneously at a reaction pressure of 0.5 Torr (1 Torr  $\approx$  133.3 Pa). However, studies by Butterbaugh et al.<sup>[37]</sup> showed that in the plasma-assisted etching of SiO<sub>2</sub>, only 1% of the etching is caused by the spontaneous chemical etching of fluorine atoms; in addition, CF<sub>2</sub> and CF<sub>3</sub> radicals have also been confirmed to be unable to continuously etch SiO<sub>2</sub><sup>[38]</sup>, indicating that the modification of SiO<sub>2</sub> surfaces by CF<sub>x</sub> has self-limiting properties. Studies by Metzler et al.<sup>[39]</sup> further found that when using C<sub>4</sub>F<sub>8</sub>/Ar plasma to modify the surface of SiO<sub>2</sub> thin films, a layer of CF<sub>x</sub> film is deposited on the film surface, which combines with the outermost SiO<sub>2</sub> to form a modified layer, as shown in Fig. 4. In the subsequent modified layer removal step, the energy of Ar<sup>+</sup> ions is between that required for modified layer removal and physical sputtering, finally achieving an etching rate of 0.4 nm·cycle<sup>-1</sup>. However, for etching processes relying on plasma bombardment, etching damage remains a problem to be solved<sup>[40]</sup>, so thermal ALE processes without plasma participation have become a more attractive option.

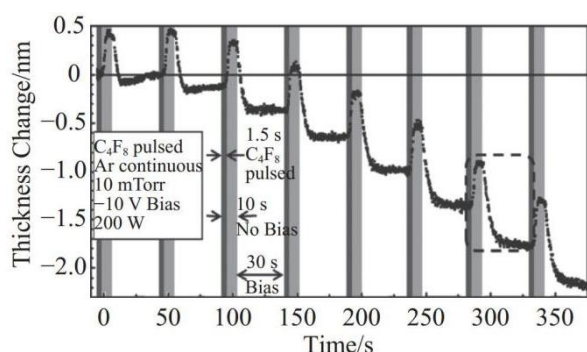
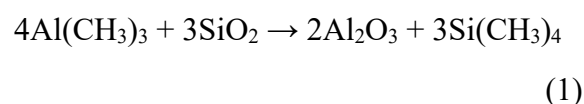


Fig. 4 Thickness variation of SiO<sub>2</sub> film within 8 ALE cycles by a C<sub>4</sub>F<sub>8</sub>/Ar plasma modification and an Ar plasma sputtering step<sup>[39]</sup>

In 2017, DuMont et al.<sup>[30]</sup> reported a method to realize the thermal ALE process of SiO<sub>2</sub> through a "conversion-etch" mechanism. This method converts the outermost SiO<sub>2</sub> into Al<sub>2</sub>O<sub>3</sub> and volatile Si(CH<sub>3</sub>)<sub>4</sub> using Al(CH<sub>3</sub>)<sub>3</sub>. Subsequently, pyridine hydrogen fluoride and Al(CH<sub>3</sub>)<sub>3</sub> are used to etch the surface Al<sub>2</sub>O<sub>3</sub> through fluorination reaction and ligand exchange (the mechanism of Al<sub>2</sub>O<sub>3</sub> ALE will be described in detail in the next section). The reason why Al(CH<sub>3</sub>)<sub>3</sub> can convert SiO<sub>2</sub> is that Al<sub>2</sub>O<sub>3</sub> has higher thermodynamic stability than SiO<sub>2</sub>. The reaction process<sup>[30]</sup> is as follows:



Calculations using HSC Chemistry software show that at 300 °C, the Gibbs free energy change of this reaction is  $\Delta G = -53.8$  kcal (1 kcal  $\approx$  4.2 kJ), indicating that the reaction can proceed spontaneously. Fig. 5(a) shows the differential infrared absorption spectra corresponding to SiO<sub>2</sub> after the first reaction with TMA and hydrogen fluoride (HF) at 300 °C<sup>[30]</sup>. It can be seen that after the first TMA reaction, the absorption peak intensities of Si—O and Si—OH groups at 975 cm<sup>-1</sup> and 1250 cm<sup>-1</sup> weaken, while the absorption peaks of Si—CH<sub>3</sub> groups (at 670 cm<sup>-1</sup> and 1275 cm<sup>-1</sup>), Al—CH<sub>3</sub> groups (at 750 cm<sup>-1</sup> and 1212 cm<sup>-1</sup>), and Al—O groups (at 845 cm<sup>-1</sup>) increase<sup>[41]</sup>, which well verifies the above reaction process. In addition, after the reaction with HF (compared with the TMA reaction), the decrease in the absorption peak intensities of Al—O and Si—O—Al groups and the increase in the absorption peak intensities of Si—F and Al—F groups further confirm the fluorination process. As shown in Fig. 5(b), the thermal ALE etching rate of SiO<sub>2</sub> is significantly affected by the reaction pressure. When the reaction pressure reaches 4 Torr, the etching



rate can reach a peak of approximately  $0.3 \text{ nm} \cdot \text{cycle}^{-1}$ [30].

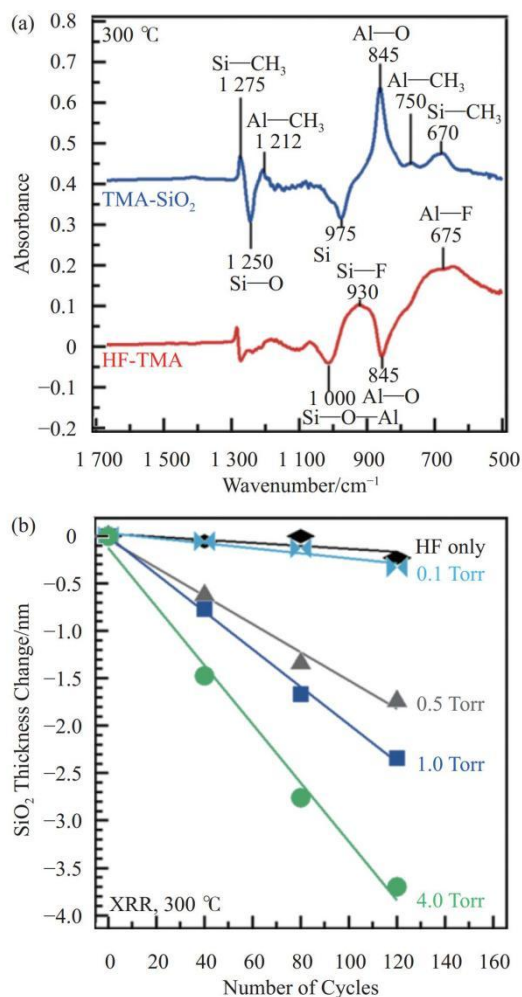


Fig. 5 (a) Difference infrared absorbance spectra during the first TMA and HF exposures on  $\text{SiO}_2$  at  $300^\circ\text{C}$ . (b)  $\text{SiO}_2$  film thickness change versus number of ALE cycles at different TMA/HF pressures[30]

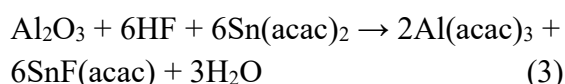
### 3.2 ALE processes for metal oxides

In MOSFETs, the metal oxide layer is usually located between the metal gate and the semiconductor as a gate insulating layer. The material selection and thickness of the oxide layer have important impacts on the performance of MOSFETs. Metal oxides can usually be modified with chlorine to form more stable chlorides, and then the modified layer is removed by  $\text{Ar}^+$  ion bombardment. This method can be applied to  $\text{Al}_2\text{O}_3$ [42],  $\text{HfO}_2$ [43],  $\text{ZrO}_2$ [44],  $\text{TiO}_2$ [45], etc. In 2015, the concept of thermal

ALE was proposed and first applied to  $\text{Al}_2\text{O}_3$  ALE, whose principle is shown in Fig. 6(a)[33]. In the thin film surface modification step, pyridine hydrogen fluoride gas reacts with  $\text{Al}_2\text{O}_3$  to generate  $\text{AlF}_3$ [33]:



Calculations using HSC Chemistry software show that at  $250^\circ\text{C}$ , the  $\Delta G$  of this reaction is  $-53.8 \text{ kcal}$ , indicating that the reaction can proceed spontaneously; in the modified layer removal step,  $\text{Sn}(\text{acac})_2$  reacts with  $\text{AlF}_3$  to generate volatile products  $\text{Al}(\text{acac})_3$  and  $\text{SnF}(\text{acac})$ , so the total reaction[33] can be expressed as:



In thermal ALE processes, fluorination reactions are of great significance because metal oxides are difficult to directly react with precursors to generate volatile products, while metal fluorides (such as  $\text{AlF}_3$ ) can undergo ligand exchange reactions with precursors such as  $\text{Al}(\text{CH}_3)_3$ ,  $\text{AlCl}(\text{CH}_3)_2$ , and  $\text{Sn}(\text{acac})_2$  to generate volatile products such as  $\text{AlF}(\text{CH}_3)_2$ ,  $\text{AlFCl}(\text{CH}_3)$ , and  $\text{Al}(\text{acac})_3$ [33, 46-47]. In addition, studies by Cano et al.[48] have shown that the reaction between metal oxides and pyridine hydrogen fluoride usually has self-limiting properties, as shown in Fig. 6(b). At  $300^\circ\text{C}$ , when the reaction pressure of pyridine hydrogen fluoride increases from 1 Torr to 4 Torr, the etching rate increases from approximately  $0.2 \text{ nm} \cdot \text{cycle}^{-1}$  to  $0.25 \text{ nm} \cdot \text{cycle}^{-1}$ ; however, the etching rate does not increase linearly with the pressure, but tends to saturate when the pressure reaches about 4 Torr[48]. X-ray photoelectron spectroscopy (XPS) characterization results show that the thickness of the fluoride generated by the reaction between  $\text{Al}_2\text{O}_3$  and pyridine hydrogen fluoride also shows self-limiting



properties with the change of reaction pressure. At 300 °C, when the reaction pressure reaches 4 Torr, the thickness of the fluoride is basically stable at about 0.5 nm<sup>[48]</sup>, as shown in Fig. 6(c). Under a given reaction pressure  $P$ , the relationship between the fluoride thickness  $x$  and the diffusion time  $t$ <sup>[48]</sup> can be expressed as:

$$dx/dt = k_0 P/x \quad (4)$$

where  $k_0$  is a constant. Equation (4) indicates that the generated fluoride can act as a diffusion barrier for subsequent reactions, that is, the fluorination reaction has self-limiting properties, which forms the basis for high-precision etching of metal oxides.

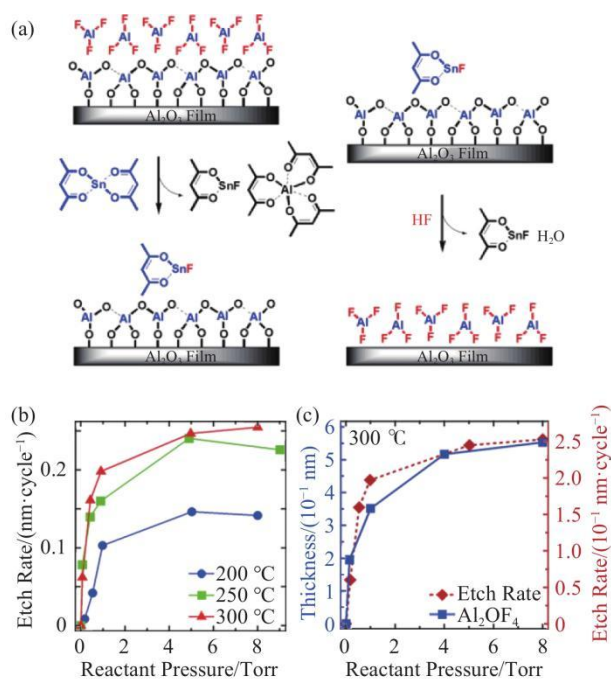
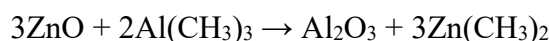


Fig. 6 (a) The reaction of Sn(acac)<sub>2</sub> and HF with the Al<sub>2</sub>O<sub>3</sub> surface, respectively<sup>[33]</sup>. (b) The change of etching rate with reaction pressure at different temperatures<sup>[33]</sup>. (c) The change of fluoride thickness with reaction pressure at 300 °C<sup>[48]</sup>

In addition to Al<sub>2</sub>O<sub>3</sub>, ALE processes for other metal oxides such as ZnO, HfO<sub>2</sub>, ZrO<sub>2</sub>, TiO<sub>2</sub>, and WO<sub>3</sub> have also attracted much attention<sup>[31, 34-35, 49]</sup>. For ZnO, the precursors for its ALE process can also be Al(CH<sub>3</sub>)<sub>3</sub> and pyridine hydrogen fluoride. However, different from the

ALE process of Al<sub>2</sub>O<sub>3</sub>, although the product of the fluorination reaction, ZnF<sub>2</sub>, can directly react with Al(CH<sub>3</sub>)<sub>3</sub> to generate volatile Zn(CH<sub>3</sub>)<sub>2</sub>, Al(CH<sub>3</sub>)<sub>3</sub> can also directly react with the exposed new ZnO surface and convert it into Al<sub>2</sub>O<sub>3</sub>. The reaction process<sup>[35]</sup> is as follows:



(5)

Calculations using HSC Chemistry software show that at 200 °C, the  $\Delta G$  of this reaction process is -88.3 kcal, indicating that Al<sub>2</sub>O<sub>3</sub> is more stable than ZnO. Therefore, similar to SiO<sub>2</sub>, the ALE process of ZnO also uses the "conversion-etch" mechanism, converting it into Al<sub>2</sub>O<sub>3</sub> before etching, as shown in Fig. 7<sup>[35]</sup>.

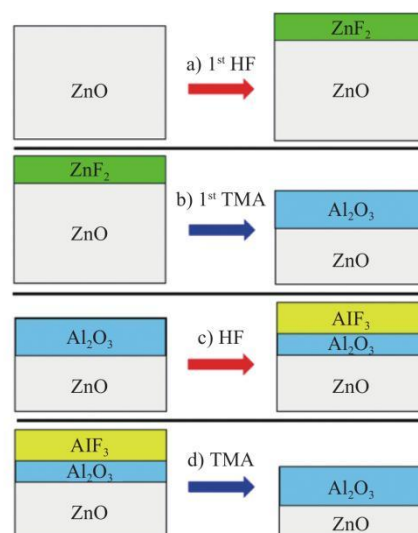


Fig. 7 "Conversion-etch" mechanism of ALE process of ZnO<sup>[35]</sup>

HfO<sub>2</sub> can undergo ligand exchange reactions with TiCl<sub>4</sub><sup>[50]</sup> or Sn(acac)<sub>2</sub><sup>[49]</sup> after fluorination reaction to generate volatile products HfCl<sub>4</sub><sup>[50]</sup> or Hf(acac)<sub>4</sub><sup>[49]</sup>, respectively. However, Al(CH<sub>3</sub>)<sub>3</sub> cannot undergo ligand exchange with HfF<sub>4</sub> to generate volatile products, nor can it convert HfO<sub>2</sub> through "conversion-etch" for subsequent etching. In contrast, another aluminum-based precursor, AlCl(CH<sub>3</sub>)<sub>2</sub>, can provide additional Cl ligands to combine with

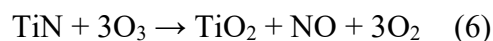


Hf atoms to form stable Hf—Cl bonds, thus realizing the ALE process of HfO<sub>2</sub> through ligand exchange reactions<sup>[47]</sup>. Similarly, AlCl(CH<sub>3</sub>)<sub>2</sub> and pyridine hydrogen fluoride can also be used in the ALE process of ZrO<sub>2</sub><sup>[47]</sup>, indicating that it has broader applicability than Al(CH<sub>3</sub>)<sub>3</sub> and is a universal ALE precursor.

### 3.3 ALE processes for metal nitrides

TiN is widely used as a diffusion barrier between interconnect metals and dielectric layers in the semiconductor field due to its excellent stability, thermal conductivity, and electrical conductivity<sup>[51]</sup>. However, studies by Lee et al.<sup>[52]</sup> have shown that it is difficult to realize ALE of TiN through fluorination and ligand exchange reactions. The reason is that compared with the more stable 4<sup>+</sup> oxidation state, Ti in TiN with a 3<sup>+</sup> valence is difficult to directly generate stable volatile products<sup>[51]</sup>. Therefore, changing the oxidation state of Ti is particularly important. Ozone (O<sub>3</sub>), as a strong oxidant, can oxidize the surface TiN into a TiO<sub>2</sub> modified layer with 4<sup>+</sup> valence Ti. At 200 °C, the  $\Delta G$  of this reaction is  $-184.3$  kcal.

Investigating the influence of O<sub>3</sub> injection time on the etching rate, it is found that this reaction has self-limiting properties similar to fluorination reactions, as shown in Fig. 8(a)<sup>[51]</sup>. In the modified layer removal step, pyridine hydrogen fluoride can react with TiO<sub>2</sub> to generate stable volatile product TiF<sub>4</sub>. The specific process is as follows:



It is worth noting that although calculations show that the  $\Delta G$  of reaction (6) at 200 °C is 6.9 kcal, experimental results show that HF can etch TiO<sub>2</sub> in the range of 200-300 °C. A possible explanation is that the reaction does not proceed under steady-state conditions, so the free energy change of the steady-state reaction cannot be used for evaluation. In addition, the by-product H<sub>2</sub>O of reaction (7) may play a "autocatalytic" role, further promoting the etching of TiO<sub>2</sub><sup>[53]</sup>. Studies have also found that temperature has a significant impact on the etching rate, as shown in Fig. 8(b).

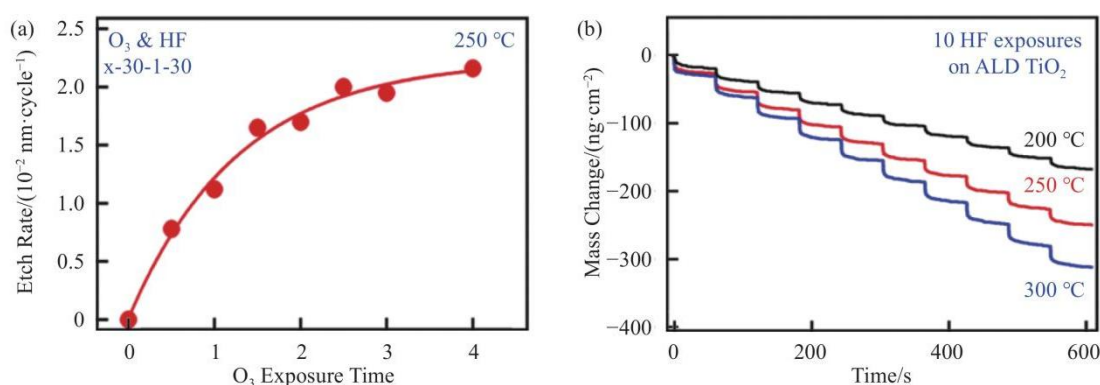


Fig. 8 (a) The self-limiting characteristics of the reaction between O<sub>3</sub> and TiN. (b) The difference in etching rate of TiO<sub>2</sub> by HF at different temperatures<sup>[51]</sup>

In addition to TiN, metal elements in many metal nitrides can be oxidized by ozone to form more stable metal oxides. For example, TaN, NbN, VN, W<sub>2</sub>N, Mo<sub>2</sub>N, and CrN can be oxidized by O<sub>3</sub> to form more stable metal

oxides Ta<sub>2</sub>O<sub>5</sub>, Nb<sub>2</sub>O<sub>5</sub>, V<sub>2</sub>O<sub>5</sub>, WO<sub>3</sub>, MoO<sub>3</sub>, and CrO<sub>3</sub>, which can be further fluorinated to generate volatile compounds TaF<sub>5</sub>, NbF<sub>5</sub>, VF<sub>5</sub>, WF<sub>6</sub>, MoF<sub>6</sub>, and CrF<sub>6</sub><sup>[51]</sup>. Thus, it can be seen



that the "oxidation-fluorination" mechanism has wide applicability in ALE of metal nitrides.

### 3.4 ALE processes for metal elements

Metals play a role in interconnection and filling in semiconductor devices due to their high thermal and electrical conductivity. In recent years, increasing attention has been paid to etching methods for metal elements in the field of integrated circuits. However, due to the corrosion resistance of many metal materials, submicron-scale metal patterning is still very challenging<sup>[54]</sup>. In this context, ALE technology provides a new feasible path for metal etching.

Similar to ALE of metal nitrides, improving the oxidation state of metals is also crucial for ALE processes of metal elements, because volatile metal complexes are usually in higher oxidation states. For example, for Co and Cu, a common ALE method is to first modify the metal surface with  $\text{Cl}_2$  or  $\text{O}_3$  to convert them into chlorides with  $2^+$  or  $3^+$  valence; then use hexafluoroacetylacetone (Hhfac) to undergo ligand exchange reactions with the chlorides to form volatile metal organic complexes such as  $\text{Cu}(\text{hfac})_2$ <sup>[55]</sup> and  $\text{Co}(\text{hfac})_2$ <sup>[56]</sup>, thereby realizing precise etching of the metal surface. This method has high selectivity and controllability, enabling precise etching of cobalt and copper materials. In addition to Hhfac,  $\text{BCl}_3$  is also

used as an important etchant in metal ALE. Especially for W and Mo metals, their ALE processes are realized through the reaction of  $\text{BCl}_3$  with metal oxides to generate volatile chlorides<sup>[31]</sup>. In this process,  $\text{BCl}_3$  not only acts as an etchant but also plays a role in surface modification. By precisely controlling the flow rate and reaction time of  $\text{BCl}_3$ , high-precision etching of W and Mo materials can be achieved<sup>[13, 31]</sup>.

In addition, the use of sulfuryl chloride ( $\text{SO}_2\text{Cl}_2$ ) can also cause deoxychlorination of oxidized  $\text{MoO}_3$  to generate volatile product  $\text{MoO}_2\text{Cl}_2$ , thereby realizing ALE of Mo<sup>[15]</sup>. For noble metals, improving their oxidation state is also the key to ALE processes. Akagi et al.<sup>[57]</sup> used high-density  $\text{O}_2/\text{Ar}$  plasma to oxidize Pt thin films into a modified layer with  $4^+$  valence Pt at a substrate temperature below  $80^\circ\text{C}$ , and then used formic acid vapor to undergo complexation reactions with the oxide layer to form volatile product  $\text{Pt}(\text{HCOO})_2$  that can be desorbed from the Pt thin film surface, thereby realizing the ALE process of Pt. The etching rate is  $0.3\text{-}0.7\text{ nm}\cdot\text{cycle}^{-1}$ , and the surface roughness is  $0.7\text{-}0.9\text{ nm}$ .

ALE processes for metal oxides, nitrides, elements, and silicon-based materials are summarized in Table 1.

Table 1 ALE parameters for different materials

Material	Reactant 1	Reactant 2	Etching rate/ ( $\text{nm}\cdot\text{cycle}^{-1}$ )	Temperature/ $^\circ\text{C}$	References
Si	$\text{CF}_4$	$\text{Ar}^+$	0.136	200	[26]
$\text{SiO}_2$	$\text{Cl}_2$	$\text{Ar}^+$	0.064	25	[27]
$\text{Al}_2\text{O}_3$	HF	$\text{Sn}(\text{acac})_2$	0.061	250	[33]
$\text{Al}_2\text{O}_3$	HF	TMA	0.014-0.075	250-325	[46]
$\text{HfO}_2$	HF	$\text{Sn}(\text{acac})_2$	0.012	250	[49]
$\text{HfO}_2$	HF	$\text{Al}(\text{CH}_3)_2\text{Cl}$	0.077	250	[52]



ZrO <sub>2</sub>	HF	SiCl <sub>4</sub> /Sn	0.014	350	[52]
ZnO	HF	TMA	0.219	295	[35]
TiO <sub>2</sub>	WF <sub>6</sub>	BCl <sub>3</sub>	0.060-0.070	170	[34]
SiO <sub>2</sub>	HF	TMA	0.031	300	[30]
WO <sub>3</sub>	BCl <sub>3</sub>	HF	0.419	207	[31]
W	O <sub>3</sub> /BCl <sub>3</sub>	HF	0.250	207	[31]
W	O <sub>2</sub>	WF <sub>6</sub>	0.630	300	[14]
Mo	O <sub>3</sub>	SOCl <sub>2</sub>	0.094-1.098	75-225	[15]
WSe <sub>2</sub>	O <sub>3</sub>	KOH	—	25	[29]
TiN	O <sub>3</sub>	HF	0.020	250	[51]
AlN	HF	Sn(acac) <sub>2</sub>	0.036	250	[32]
AlN	HF	Sn(acac) <sub>2</sub> /H <sub>2</sub> plasma	0.196	250	[32]
AlF <sub>3</sub>	HF	Sn(acac) <sub>2</sub>	0.007-0.063	150-250	[58]

### 3.5 Applications of selective ALE

Achieving selective etching between different materials is particularly important in the manufacturing process of semiconductor devices<sup>[21]</sup>. Plasma-based ALE mainly relies on chlorine or fluorocarbons (directly or activated by plasma) to modify thin films, and then uses Ar<sup>+</sup> ions or neutral particles to bombard and remove the modified layer<sup>[11]</sup>, thus lacking "intrinsic" selectivity for different materials. Here, "intrinsic" etching selectivity is defined as: based on a certain mechanism, ALE can only etch specific materials, while having no etching effect on other materials. The key to selective etching in plasma-based ALE is to find ALE windows (such as plasma duration, plasma energy, etc.) for different materials to achieve differences in etching rates between different materials, as shown in Fig. 9<sup>[59]</sup>. Therefore, most plasma-based ALE is based on "non-intrinsic" selective etching. For example, Li et al.<sup>[60]</sup> found that due to different reaction pathways for forming volatile etching products, the activation rate of CF<sub>x</sub> on the SiO<sub>2</sub> surface is higher than that on the Si<sub>3</sub>N<sub>4</sub> surface. Therefore,

by reducing the plasma duration, the SiO<sub>2</sub> surface can be completely modified, while the Si<sub>3</sub>N<sub>4</sub> surface only partially reacts with CF<sub>x</sub>, thereby achieving selective etching of SiO<sub>2</sub> over Si<sub>3</sub>N<sub>4</sub><sup>[61]</sup>.

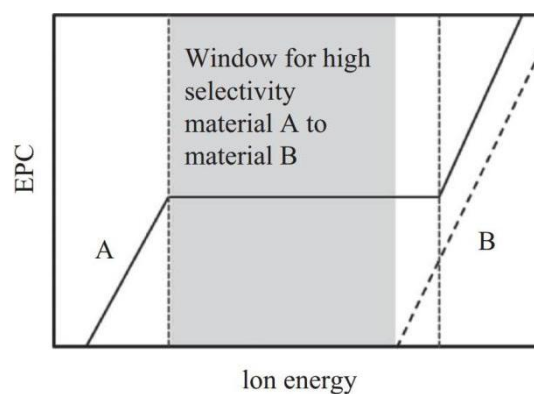


Fig. 9 Schematic of selective ALE achieved by controlling ion energy<sup>[59]</sup>

On the other hand, the plasma energy required for physical sputtering of Si<sub>3</sub>N<sub>4</sub> is generally 20-30 eV<sup>[39-40, 60]</sup>, while that for SiO<sub>2</sub> is about 50 eV<sup>[61-64]</sup>. Theoretically, in the selective ALE process of SiO<sub>2</sub>, the plasma energy should be lower than 20 eV to avoid physical sputtering of Si<sub>3</sub>N<sub>4</sub>. However, when etching high-aspect-ratio structures, it is usually necessary to increase the plasma energy, which may cause physical



sputtering of  $\text{Si}_3\text{N}_4$ , thereby reducing the  $\text{SiO}_2/\text{Si}_3\text{N}_4$  etching selectivity ratio, or even causing the etching rate of  $\text{Si}_3\text{N}_4$  to be higher than that of  $\text{SiO}_2$ <sup>[65-66]</sup>.

With the help of different reaction mechanisms, thermal ALE can more easily achieve "intrinsic" etching selectivity between different materials. For example, studies by Junige et al.<sup>[67]</sup> have shown that when HF and  $\text{NH}_3$  are simultaneously introduced into the reaction chamber at 275 °C, the etching rate of  $\text{SiO}_2$  can reach  $0.883 \text{ nm} \cdot \text{cycle}^{-1}$ , and the etching selectivity ratio of  $\text{SiO}_2$  to  $\text{SiN}_x$  is greater than 1000:1. The main mechanism is that when HF and  $\text{NH}_3$  are co-introduced, the etching active species  $\text{HF}_2^-$  significantly increases the etching rate of  $\text{SiO}_2$ , while  $\text{HF}_2^-$  cannot etch  $\text{SiN}_x$ . Similarly, ALE can also be used for selective etching of nitrides. For example,  $\text{O}_3 + \text{HF}$  can etch TiN at 250 °C, but cannot etch oxides such as  $\text{ZrO}_2$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{HfO}_2$ , and  $\text{SiO}_2$ , because  $\text{ZrF}_4$ ,  $\text{AlF}_3$ , and  $\text{HfF}_4$  are thermodynamically stable solid products at low temperatures<sup>[51]</sup>, and  $\text{SiO}_2$  cannot be directly etched by dry HF<sup>[8]</sup>. In addition,  $\text{Al}(\text{CH}_3)_3/\text{HF}$  can effectively etch  $\text{Al}_2\text{O}_3$ ,  $\text{HfO}_2$ , and  $\text{SiO}_2$ , but cannot etch  $\text{ZrO}_2$ . The reason is that the ligand exchange product  $\text{Zr}(\text{CH}_3)_4$  of  $\text{Al}(\text{CH}_3)_3$  and  $\text{ZrF}_4$  is unstable and decomposes even at a low temperature of 15 °C, so it cannot effectively remove the  $\text{ZrF}_4$  layer on the  $\text{ZrO}_2$  surface<sup>[52, 68]</sup>.

It is worth noting that thermal ALE also has the case of "non-intrinsic" selective etching. Under the same reaction mechanism, selective ALE can be realized by utilizing the difference in etching rates. For example, for the InAlAs/InGaAs system, due to the fact that AlAs is more prone to fluorination reaction than GaAs thermodynamically (at 300 °C, the  $\Delta G$  of their fluorination reactions is  $-81.2 \text{ kcal}$  and  $-15.5 \text{ kcal}$ , respectively), the etching rate of

InAlAs is higher than that of InGaAs [69]. This difference in etching rates can be used to achieve selective etching.

Since thermal ALE can realize high-precision, low-damage, and high-selectivity etching through self-limiting gas-phase reactions without plasma energy participation, it has significant advantages over traditional technologies such as RIE and wet etching in the manufacturing of three-dimensional nanodevices. Especially for extremely fine nanostructures, thermal ALE helps maintain the integrity of the shape and improve surface quality. Lu et al.<sup>[69]</sup> realized selective thinning of  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{In}_{0.52}\text{Al}_{0.48}\text{As}$  vertical nanowires using pyridine hydrogen fluoride and  $\text{AlCl}(\text{CH}_3)_2$ , further verifying the application potential of ALE in the manufacturing of nanoscale FinFET devices. Fig. 10(a) shows a schematic diagram of the InGaAs n-channel FinFET grown by Molecular Beam Epitaxy (MBE) along the source-drain direction and the Fin cross-sectional direction. Through selective ALE of the InAlAs buffer layer, an InGaAs n-channel with a minimum width of 2.5 nm and an aspect ratio of 20 was released, and 4.7 nm thick  $\text{Al}_2\text{O}_3$  and W were coated by in-situ ALD to reduce interface oxidation, finally manufacturing InGaAs n-FinFETs with a gate length of only 25 nm, as shown in the transmission electron microscope (TEM) image in Fig. 10(b).



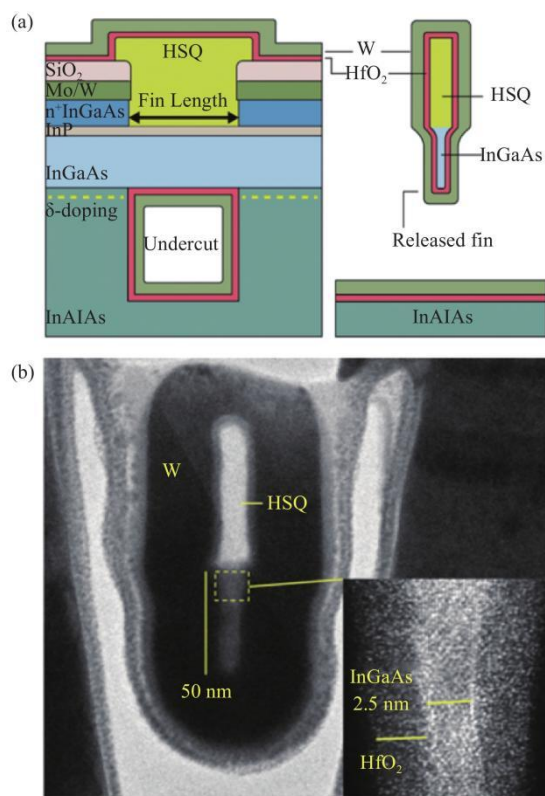


Fig. 10 (a) Cross-sectional schematics along the source-drain direction and across the fin of a InGaAs n-channel FinFET grown by MBE. (b) Cross-section TEM image of a finished FinFET with minimum fin width of 2.5 nm and a highest fin aspect ratio of 20. The inset shows a close-up image of the InGaAs channel<sup>[69]</sup>

Selective etching also plays an important role in the preparation of nanoring gate structures in gate-all-around (GAA) devices. Li et al.<sup>[70]</sup> realized selective etching of SiGe over Si using H<sub>2</sub>O<sub>2</sub> and buffered oxide etchant (BOE), and precisely controlled the size of the SiGe channel in SiGe/Si core-shell nanowires using the self-limiting oxidation reaction in the wet etching process (etching rate of 0.5 nm·cycle<sup>-1</sup>), effectively suppressing the short-channel effect, indicating the potential of ALE in the application of vertical channel devices.

While realizing isotropic and selective etching, ALE can also be used to remove surface defects of compound semiconductors. Edel et al.<sup>[71]</sup> removed the sputtering damage on the InGaP

surface using the self-limiting reaction of HF and TMA, and found that for sputtering damage caused by particles with an energy of 500 eV, the intrinsic properties of the material can be restored after 50 ALE cycles. Auger electron spectroscopy (AES) results show that ALE cycles can remove Ar<sup>+</sup> ions implanted during sputtering, so ALE also has application potential in removing plasma etching damage.

### 3.6 Area-selective deposition based on ALE

With the reduction of feature sizes of microelectronic devices, traditional top-down processing methods such as photolithography and etching face alignment problems at nodes below 7 nm. Specifically, with the increase in processing steps, subsequent patterning and deposition processes will amplify small deviations in pattern transfer<sup>[72-73]</sup>. In contrast, Area-Selective Deposition (ASD) adopts a bottom-up method, allowing thin film materials to be deposited only in the desired "growth" areas while minimizing deposition in "non-growth" areas, thereby effectively avoiding the above problems<sup>[74]</sup>. Early ASD methods mainly achieved selective deposition by introducing film deposition inhibitors in "non-growth" areas, but the inhibitors are prone to failure during cycles, leading to reduced selectivity<sup>[75]</sup>.

In recent years, researchers<sup>[72]</sup> have proposed combining ALD and ALE technologies to realize a more reliable "atomic-level manufacturing process". For example, studies by Song et al.<sup>[76]</sup> first proved that ASD without deposition inhibitors can be realized through ALD+ALE supercycles in a single reaction chamber. The principle is shown in Fig. 11<sup>[76]</sup>. In the ALD process of TiO<sub>2</sub>, the thickness of TiO<sub>2</sub> on the Si—OH surface increases linearly with the number of cycles, while there is an obvious nucleation incubation period on the Si—H surface. Etching TiO<sub>2</sub> by ALE during the



incubation period can significantly remove nucleation on the Si—H surface, thereby inhibiting film deposition. Fig. 12 shows TEM and Scanning electron microscope (SEM) images of TiO<sub>2</sub> after ASD. The results show that after 12 ALD+ALE supercycles and 20 ALE cycles, an 8.7 nm thick TiO<sub>2</sub> film is deposited on the Si—OH substrate surface, while only a small amount of particles exist on the Si—H surface, as shown in Fig. 12(a) and (b)<sup>[76]</sup>. When the number of supercycles increases to 14, the deposition thickness of TiO<sub>2</sub> on the Si—OH substrate can reach 11.8 nm, while the number of particles on the Si—H surface increases but still cannot form a continuous film, as shown in Fig. 12(c) and (d). The above results indicate that constructing ALD+ALE supercycles is a very effective new ASD strategy<sup>[73]</sup>.

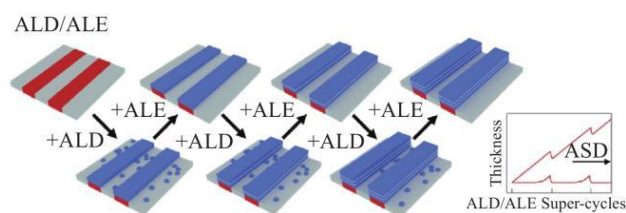


Fig. 11 Schematic diagram of selective deposition of TiO<sub>2</sub> using ALD+ALE super-cycle. The insert shows the thickness variation of TiO<sub>2</sub> deposited on different substrates as the number of ALD+ALE super-cycles increases<sup>[76]</sup>

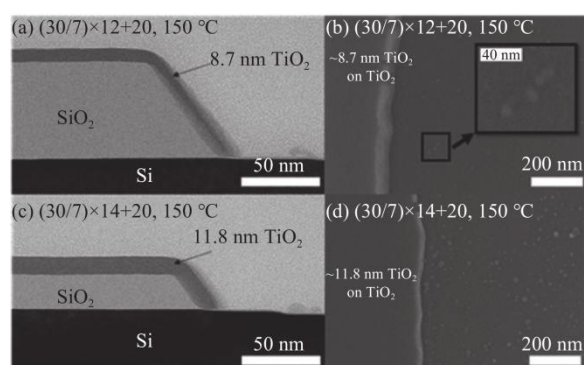


Fig. 12 (a) TEM and (b) SEM images of TiO<sub>2</sub> after 12 ALD+ALE super-cycles and 20 ALE cycles. (c) TEM and (d) SEM images of TiO<sub>2</sub> after 14 ALD+ALE super-cycles and 20 ALE cycles<sup>[76]</sup>

In addition to metal oxides, two-dimensional transition metal dichalcogenides have high mobility and unique low-dimensional material properties, which are also important materials in the microelectronics field. Therefore, area-selective deposition technology is also crucial for the fine patterning of such materials. Studies by Soares et al.<sup>[75]</sup> found that due to the difference in surface hydroxyl concentration, after 20 cycles, the deposition selectivity ratio of MoS<sub>2</sub> on Al<sub>2</sub>O<sub>3</sub> and thermally oxidized SiO<sub>2</sub> surfaces is 0.85. However, after performing ALE using MoF<sub>6</sub> and H<sub>2</sub>O precursors during deposition intervals, the nucleation of Mo on non-growth areas can be significantly inhibited, increasing the deposition selectivity ratio to 0.95, and achieving more significant selective deposition within a longer ALD cycle range<sup>[75]</sup>. This further illustrates the wide applicability of the ASD strategy based on ALD+ALE supercycles in different material systems.

## 4 Conclusions and outlook

The key difference between ALE technology and technologies such as RIE and wet etching is that it decomposes the continuous reaction process into self-limiting thin film surface modification steps and modified layer removal steps, and its unique advantages are reflected in high precision, low damage, and etching selectivity for different materials. At present, plasma-based ALE has been proven to have application potential in fields such as deep silicon etching<sup>[77]</sup>; while the ligand exchange mechanism involved in thermal ALE can provide a new path for isotropic selective etching, making high-selectivity lateral etching required in 3D chip design for sub-10 nm technology nodes possible<sup>[13]</sup>. In addition, the method of combining ALD and ALE can improve the deposition selectivity ratio of ASD under high cycle numbers<sup>[78]</sup>, thereby realizing



bottom-up "atomic-level manufacturing". In the future, it is necessary to deeply analyze different ALE mechanisms, realize high-precision, selective etching between various nanoscale thin films, and further verify the feasibility of ALE technology in the manufacturing of advanced devices such as FinFETs, 3D NAND, and GAA<sup>[70]</sup>.

However, the development of ALE technology still faces some challenges: Firstly, the development cycle of ALE processes for different materials is long, and it is difficult to achieve batch development through high-throughput, standardized processes<sup>[78]</sup>; Secondly, due to its high process complexity, it is still difficult to construct stable and efficient process flows to adapt to large-scale manufacturing<sup>[79]</sup>. To address the above issues, firstly, in the process development, it is necessary to obtain diverse process data through in-situ characterization methods. Existing solutions include fourier transform infrared spectroscopy (FTIR)<sup>[80-81]</sup>, quartz crystal microbalance (QCM)<sup>[67]</sup>, in-situ ellipsometry<sup>[81]</sup>, quadrupole mass spectrometry (QMS)<sup>[82]</sup>, secondary ion mass spectrometry (SIMS)<sup>[70]</sup>, and optical emission spectroscopy (OES)<sup>[83]</sup>, etc. These methods can provide information such as surface group types, thin film thickness, reaction product types, ion types and concentrations. However, when integrating these detection elements into the ALE reaction chamber, there are still challenges in interface modular design, optimization of gas flow and temperature fields in the chamber, and anti-corrosion treatment of detection elements<sup>[83]</sup>, which require collaboration between semiconductor component suppliers and ALE development teams for customized development. Secondly, it is necessary to use high-precision feedback control methods such as end point (EP)

detection and run-to-run (R2R) control to dynamically adjust process parameters during batches and reduce possible interference in actual processes<sup>[84]</sup>. Finally, based on sufficient process data, construct multi-scale computational models from microscopic molecular dynamics simulation (MDS) and density functional theory (DFT) to macroscopic computational fluid dynamics (CFD)<sup>[85-87]</sup>, and optimize model accuracy through deep learning methods such as recurrent neural networks (RNN) and convolutional neural networks (CNN)<sup>[88-90]</sup>, so as to improve functions in simulation result fitting, natural language processing, etc.<sup>[79, 91]</sup>, ensuring that the model maintains good performance when simulating complex dynamic processes and flow field disturbances in actual manufacturing environments<sup>[84]</sup>. Ultimately, artificial intelligence can be used to optimize process development or screen process recipes.

In conclusion, the development of ALE technology requires collaboration and iteration in equipment research and development, process development, simulation, and device verification<sup>[92]</sup>. In the future, ALE will become an indispensable key technology in the field of advanced semiconductor manufacturing.

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