Lab-in-a-tube: ultracompact components for on-chip capture and detection of individual micro-/nanoorganisms†‡

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A review of present and future on-chip rolled-up devices, which can be used to develop lab-in-a-tube total analysis systems, is presented. Lab-in-a-tube is the integration of numerous rolled-up components into a single device constituting a microsystem of hundreds/thousands of independent units on a chip, each individually capable of sorting, detecting and analyzing singular organisms. Such a system allows for a scale-down of biosensing systems, while at the same time increasing the data collection through a large, smart array of individual biosensors. A close look at these ultracompact components which have been developed over the past decade is given. Methods for the capture of biomaterial are laid out and progress of cell culturing in three-dimensional scaffolding is detailed. Rolled-up optical sensors based on photoluminescence, optomechanics, optofluidics and metamaterials are presented. Magnetic sensors are introduced as well as electrical components including heating, energy storage and resistor devices.

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1 Introduction

The concept of lab-on-a-chip aims to bring the functionality found in a laboratory down to a chip which can fit in the palm of a hand.1–8 This total analysis system scale-down can lead to many advantages, one of which includes the ability to have on-the-go, in-the-field diagnostics. Another benefit is that as smaller and more sensitive detectors and devices are developed, reduced amounts/quantities of fluids/specimens are required for a full analysis. In fact, the demonstration of efficiently analyzing and manipulating molecular reactions on micro-/nanometre scales has been shown.9 Decreased analysis intervals and increased rates of response are also possible due to the reduced flow distances and faster heating speeds of these compact systems—not to mention the minimal fabrication costs. One of the big challenges in designing lab-on-a-chip microanalytical devices involves the integration of microfluidics and suitable micro-/nanotechnologies which can bring new and compact functionalities to the system.

Microfluidic systems should combine a series of components such as small-scale methods to introduce the samples into the microchannels, pumping the fluids throughout the chip, and detection systems that can allow for sensing and read-out of those results. Therefore, a requirement of lab-on-a-chip systems is that the system be capable of a large-scale integration of numerous components. A dream in nanotechnology and analytic systems is to fabricate a device which integrates several of these functionalities into a single chip which would lead to improved performance and compactness, be user-friendly and combine different detection methods. With regards to introducing a sample into the device, several approaches have been proposed such as electrokinetic forces inducing electroosmotic flows. This could be achieved with the integration of electrodes into the chip, as opposed to the alternative pressure-driven flow commonly
produced by external valves and pumps. By replacing these external system dependencies, a further shrink-down of the device is possible. Developing microchannels with a tunable size, on demand, is also of interest for broadening the applications of such a device as well. For instance, if molecules (requiring small channels) or cells (requiring larger channels) need to be detected, a versatile platform for designing on-demand scaled microchannels is important.

The detection techniques used in microfluidics have been extensively investigated as well. Highly sensitive methods are needed because the small sample volume which is confined to the microchannels generally leads to smaller detectable signals. A common classification could be separated into optical and electrochemical detections, although numerous other techniques have been also explored. Among the possibilities for electrochemical detection, the combination of nanomaterials such as carbon nanotubes (CNTs) or nanoparticles with microanalytical methods has been successful in the detection of food samples, pharmaceutical samples, explosives, and pesticides, whereas optical detectors have shown to be useful in a wide variety of other applications. Examples can be found for the detection of water pollution and even in pregnancy tests, which are based on the plasmonic sensing of an antigen–antibody reaction. 16

In the spirit of developing increased functionality in more compact lab-on-a-chip systems, lab-in-a-tube goes further and aims at condensing an entire laboratory into an even smaller, micron-scaled package. This system is the next scale-down in smart lab-on-a-chip cellular platforms and would have all the functional and sensing components necessary for stimulating and analyzing individual organisms comprised in a single microtube. In addition, such a system could be used to collect data in a highly parallel fashion given the ability to create large arrays of tube devices on a single chip. Lab-in-a-tube would therefore address the importance of understanding organisms at an individual level while, at the same time, meeting the standards of biological studies which require a high amount of statistics for data analysis.

The backbone of the lab-in-a-tube system are microtubular architectures, which are fabricated through a nanotechnology based self-assembly process. By using strain engineering methods, researchers have been able to expand a classical two-dimensional (2D) system into the third dimension through the release and roll-up of pre-strained nanomembranes. The result is the creation of large arrays of on-chip microtube structures. Rolled-up nanotech has allowed for the development of many on-chip integrated devices, such as optical modules, chemical pumping systems, and electrical components (including ultracompact energy storage devices and superconductors), as well as provided three-dimensional (3D) scaffolding for cell culture experiments. This review will serve to provide an examination of many of these individual rolled-up devices. Furthermore, through the combination and integration of these single components, researchers will be able to fully develop the system known as lab-in-a-tube.

Each lab-in-a-tube ideally integrates a number of functional rolled-up ultracompact components into a single device, Fig. 1. The final version of such a device could have an integrated pumping system for capturing and extracting biomatter for testing. There would be electrodes integrated within for data collection, including resistive measurements and energy storage devices. Numerous optical based on optical ring resonators and metamaterials can be implemented as well. Cell culturing can be influenced through a functionalization of the inner wall of these microtubes, for instance to mimic the extracellular matrix (ECM), providing a comfortable platform for the cells to grow. Built-in heating devices can be employed to locally investigate cellular response to incubation. Magnetic sensors relying on the giant magnetoresistance (GMR) effect can also be integrated. Much progress, as will be presented below, has been made in the design and implementation of all of the mentioned ultracompact components.

2 Capturing of biomaterials

For lab-in-a-tube systems to function efficiently, methods for capturing organisms into the tubular structure must be developed. Ideally, such a capturing system would be developed as another integrated component, which would rely, for instance, on electroosmotic flow, controllable with electrodes, or some other chemical pumping system. Other possible solutions, which have been developed previously, rely on an external system for the manipulation of biomaterial, in particular, cells. One such system is known as a microsyringe pump. In this section an overview of the capturing systems, thus far developed for lab-in-a-tube, will be presented.

2.1 Microsyringe pumping system

A microsyringe pumping system is a pump which acts as a microvacuum used to suck up a cell-rich culture medium. A microfluidic pump is connected to a replaceable nozzle via plastic tubing. The nozzle is mounted onto an XYZ micromanipulator for fine-tuned spatial manipulation of the vacuum. The nozzle is made up of a glass capillary which has been tapered down to a diameter on the scale of tens of micrometres (usually ~14 to 50 µm). This nozzle is positioned with the micromanipulator to one end of an on-chip microtube, Fig. 2(a) and (b). Once the
microfluidic pump is switched on, the cell-rich medium is sucked through the microtube at a pump rate of 0.01–0.1 μL s⁻¹, and, eventually, a cell is drawn to the end of the microtube (opposite from that of the microsyringe), Fig. 2(c). Even if much larger than the microtube, the cell can be forced/pulled into the confinement of the tube, Fig. 2(d), by applying an increased pump rate (pump rate of 0.5–1 μL s⁻¹). After a cell is fully inside, the pump is turned off and the nozzle can be easily positioned to the opening of another microtube in the array, after which the process is repeated, Fig. 2(e).

The technique is cheap and easy to use and therefore well-suited for operation in research laboratories. Another feature of this method is the ability to “force” oversized cells into the confinements of the tubes because of the high achievable suction force of the system. Disadvantages of the method include a high loss of cells from the sample (many are sucked into the microsyringe and lost) which could be a problem if the cell concentration is low. This also means that a particular cell cannot be easily singled out for capture. Another aspect which requires further study is the physical impact the suction exerts on the cell, and whether or not a cell is damaged, and if so, up to what extent.

2.2 Optical trap system for cell manipulation

Another off-chip system for cell manipulation relies on the trapping power of light to capture cells near the surface of the substrate and manipulate them into the microtubes. This technique, called optical trapping,⁵⁹⁶ is used to capture cells at the beam waist of a laser which is focused down onto the cell and due to the sucking force, the cell is brought within the confinement of the tube (d). (e) The nozzle is then positioned to another microtube and the process is repeated. Adapted from E. J. Smith et al. (ref. 28).

Optical trap for capturing cells. (a) Using the confining force offered by an optical trap system, cells can be singled out and (b) captured, (c) maneuvered over to the (d) opening of a microtube and brought within the confinement of the microtube (e).

Cell/microobject by the laser. This is possible for a cell which has a higher index of refraction than the surrounding media. Forces act on the microobject due to Fresnel reflection and refraction at the surface of the object from the incoming and outgoing beams.⁵⁹ These forces can either lead to an acceleration (shooting) of the microobject [particularly likely if the index of refraction is much higher than the surroundings, or highly reflecting (metallic)] or, as is the case presented here, the exerted forces trap the microobject at the beam waist. In other words, whether or not the object is trapped or shot away is determined by the reflection and deflection forces.

Using an optical trap with a laser diode of wavelength $\lambda_0 = 975 \pm 1$ nm [tunable power (0–330 mW at output of laser)], whose light is focused down with a 100 × objective, we have been able to manipulate individual cells on-chip, Fig. 3(b) and (c). This manipulation has allowed us to capture cells which reside freely in the culture medium and bring them into the confinement of our microtubes, Fig. 3(d) and (e). This has proven to be an excellent approach for capturing a particular cell of interest. Preliminary results suggest that the cells are not destroyed by the laser light, and will continue to proliferate after the manipulation. However, more experiments still need to be performed to investigate the survival rate of cells which have been manipulated in such a manner. The cell manipulated in Fig. 3 has already undergone apoptosis. The downsides of this method are that, although a particular cell can be singled out and manipulated on-chip (an advantage over the previously mentioned approach), the forces exerted on the cell by the trap are not strong enough to pull an oversized cell into a microtube. This means that when using this technique, the microtubes must be larger than the cell of interest.

2.3 Catalytic pumping system

The pumping of fluid at the microscale is challenging due to the high viscosity dominating at low Reynolds numbers.⁶⁴ An elegant integrated on-chip approach of pumping fluid without help from external sources relies on the catalytic reactions that
can take place on the inner wall surfaces of the microfluidic pumps. In particular, catalytic microtubes, containing thin Pt films in their interior, accelerate the decomposition of H₂O₂ into O₂ and H₂O, inducing a flow, and hence a pumping of fluid, through their hollow structure. Such micropumps have the advantage of being fully autonomous and require a very low concentration of chemical fuel (hydrogen peroxide) to be activated by the generation of oxygen microbubbles. A minimum peroxide concentration of 0.06% v/v is sufficient to generate microbubbles inside the catalytic rolled-up micropumps, which is two orders of magnitude lower than reported previously. In addition, at this low concentration range, an ‘ON/OFF’ switching of the pumps can be achieved using light, which can be provided by the observation microscope. On top of this, it has been shown that the pumping rate of such microtube systems is increased when working at physiological temperatures (i.e. 37 ºC). This suggests that losses in pump performance, induced from driving down the fuel concentration, may be balanced out by working at increased temperatures. The fabrication of catalytic rolled-up micropumps offers compact fluid pumping as well as easy integration into lab-on-a-chip devices. The directed motion of polystyrene microparticles through these microtubular pumps was demonstrated and the unidirectional flow was used to move microparticles through the tubular structure in a directed fashion, Fig. 4. Since the diameter of the microtubes (Tₐ) can be tailored, the tubular micropumps could be used for sorting of particles with different sizes (Pₐ), Fig. 4(a) and (c).

We believe that the design of self-actuated micropumps will be of great interest for the directed transport and sorting of objects without the need for external sources in microanalytical devices. In addition, the use of reduced amounts of toxic fuels to efficiently move fluids is of current interest for microfluidic applications for future biomedical sensing. Such versatile micropumps may offer many advantages for integration into lab-in-a-tube analytical systems. For instance, the pumping of different kinds of cells into the microcavities can be envisioned by using tunable catalytic microtubes/pumps.

The toxicity of the pumping solution is of high importance for biological tests. For this purpose, researchers need to continue to push the limits of these chemical systems and consider moving to completely non-toxic environments. Another promising pumping system which has been demonstrated relies on water splitting due to the illumination of TiO₂ thin films with ultraviolet light. It is important to note that rolled-up microtubes can also be integrated into microfluidic channels and used for sensing purposes, a detail also highlighted later in this text.

3 Cell culture in tubular 3D scaffolding

Typical mammalian cells in tissues are constantly in contact with the 3D extracellular matrix. Adherent cells form filopodia, cytoplasmic projections spreading beyond the leading edge of migrating cells, in order to detect and interact with the complex ECM architecture. The filopodia are then transformed into lamellipodia that adhere to the ECM ligands via transmembrane integrin receptors. The ECM provides highly complicated, local, micro- and nanoscale chemical and topographical patterns, which can regulate cell behavior in vivo. The competition between chemical and topographical cues in affecting cells has been deeply studied in literature. Developing well-defined architectures that mimic a 3D ECM for tissue engineering scaffolds is thus of great interest in life science, since these platforms have a better biological or clinical relevance if the confined cells exhibit 3D phenotypes in a similar fashion to the cells in vivo.

Besides recapitulating the multi-cellular complexity of a tissue more faithfully than a traditionally 2D flat culture, these 3D scaffold cell culture systems could offer several advances and conveniences in drug discovery, generating realistic in vitro models of disease. Furthermore, recent studies have demonstrated significant differences in cellular behaviors, such as differentiation, gene expression and drug metabolism in 3D and 2D systems.

Advances in micro-/nanofabrication have enabled researchers to fabricate 3D cell culture scaffolds in various forms, such as microspheres, microfiber networks, and porous solids, microarrays, and microwells. Among these 3D microarchitectures, microtubes can be considered as an in vitro mimicry of vessels, muscles, myelin, and bone tissues. With a good directionality, 3D microtubular structures can be conveniently used as pre-patterned scaffolds for the guidance of the outgrowth of living cells within, and in cell migration studies. As a microreactor with strict 2D confinement for living cells, the microtubes can be also used to study the mechanical interaction between cells and their environment. The mechanically induced
Mass production of microtubes made from a variety of materials has been realized with rolled-up nanotech.\textsuperscript{71,23,90} In particular, transparent microtubes composed of glass (SiO\textsubscript{2}) or sapphire (Al\textsubscript{2}O\textsubscript{3}) thin films enable a detailed study of the phenomena happening in their interior by optical and fluorescent microscopy techniques. Numerous biocompatible materials have been used in the creation of rolled-up microtubular structures and have been applied to cell culture analysis.\textsuperscript{43,44,46,47} Even if the material used in the rolled-up process is non-biocompatible, post-processing after roll-up can include an atomic layer deposition (ALD) of materials found to be biocompatible, covering the entire sample, and thus protecting the biomatter from the toxic material. This ALD also serves to better stabilize the microtubes and can be used to increase the index of refraction which can be advantageous for the optical ring resonator applications discussed later.

### 3.1 Yeast cells

Due to the strict directionality of the microtubes, one can also expect the guidance of cell growth, division, and migration along the tube length. For instance, yeast cells have been encapsulated inside rolled-up Al\textsubscript{2}O\textsubscript{3} microtubes.\textsuperscript{43} These single cell organisms are usually grown in suspension and are a well-established model cell for studying evolutionarily conserved processes, such as the cell cycle and chromosome biology.

Yeast cells were diffused into Al\textsubscript{2}O\textsubscript{3} microtubes with a series of diameters, Fig. 5(a), and the cell growth was observed \textit{in situ} with an optical microscope. Due to the 2D confinement, yeasts adapt different arrangements within the microtubes depending on the tube diameters. In a relatively large microtube (diameter \( \sim 14 \mu m \)), where the cell budding is not overly confined, yeasts can arrange into two separated rows. When the diameter of the tube is decreased down to \( \sim 5.5 \mu m \), the mother cell rotates to efficiently use the space for its bud and a zigzag arrangement is formed. In a tube with a diameter similar to or even smaller than the cell diameter, the confinement becomes so restricting that the cell budding results in a straight row. These yeast cells were studied for periods of over 15 h inside the microtubular structures.

In order to perform long-term studies, more biocompatible materials/substrates are desired which could mimic the \textit{in vivo} environment. One solution is the fabrication of microtubes with fully biodegradable materials. A demonstration of this was recently made using self-rolled polymeric tubes based on patterned polysuccinimide–polycaprolactone bilayers in order to capture and study \textit{in situ} seeding of yeasts during the formation of the scaffold.\textsuperscript{46}

### 3.2 Neuron cells

Rolled-up nanotech has been employed to pre-pattern microtopographic substrates to investigate their influence on the protrusion of neurites, Fig. 5(b).\textsuperscript{44} In comparison with neurons that grew on a flat surface and formed random neurite networks, the cell extensions on microtube arrays tend to align in the direction of the microtubes and the arrangement appears complex and square-shaped. Moreover, the microtubes can act as protective coats against phototoxicity and other stress conditions from the environment acting on the neuronal cells.\textsuperscript{89}

This work on microtube-array pre-determined neurite networks was later extended to a semiconductor substrate.\textsuperscript{45} As \textit{in vivo} protrusions of axons are often unsheathed by glial cell membranes (myelin),\textsuperscript{91,92} the semiconductor Si/SiGe microtubes, where neurites outgrew from within, could thus mimic the dielectric layer wrapping around the axons. The authors claimed the integration of a “cuff electrode” where a semiconductor electric network for cell culture and physiological measurement is possible. The implementation of electrical contacts within the tubular structures will be beneficial for facilitating the electrical coupling between neurons and electrodes, and enhance the nerve stimulation while reducing the stimulus current.\textsuperscript{93–95}

### 3.3 HeLa cells

Most recently, a study which goes a step further to perform living cell studies of mammalian cells by biofunctionalizing the surface of the microtubes with proteins from the ECM has been made, Fig. 6(a).\textsuperscript{47}

The biological viability of the microtube array has been tested with single cell organisms and higher eukaryotes, such as HeLa cells, Fig. 6(b). As shown in Fig. 6(b), HeLa cells cultured on topographic substrates containing rolled-up SiO/SiO\textsubscript{2} microtubes show normal morphology. They survive and proliferate both inside and outside the tube for an extended period of time. This tubular structure allows only one dimension of freedom for the cells, and as a result, the cells trapped inside a microtube...
This, as will be shown, can also act as on-chip fluidic channels. The resonance is based on the constructive interference of light traveling around the circumference of the microtube, Fig. 7. When photoluminescence (PL) spectroscopy is performed, this interference pattern shows up as resonant peaks, known as whispering gallery modes (WGMs). The light source can either be embedded in the wall of the resonator, i.e. quantum dots, or Si nanoclusters, or a light source can be added into the core of the structure. The mode number is determined by $M = n_{eff}D_{avg}/\lambda_0$, where $D_{avg}$ is the average diameter, $\lambda_0$ is the free space resonant wavelength and $n_{eff}$ is effective index of refraction.

Given that the wall is of subwavelength thickness, a majority of the propagating evanescent field extends out of the wall. This extension can be used to probe the surrounding media and therefore $n_{eff}$ is influenced not only by the index of refraction of the resonator material, but also by the surrounding media. Therefore, a slight change in the index of refraction of the medium translates into a slight change in $n_{eff}$, thereby shifting the peak position of $M$, allowing for a sensing of the surrounding medium, inset of Fig. 7. Furthermore, optical resonators can also be used for label-free detection of particles or viruses at the surface of the wall because of an increase in the optical path length. Since rolled-up resonators assume the form of a spiral scroll, mode splitting can be observed (due to different optical path lengths of light travelling clockwise and counterclockwise). This is a phenomenon which can be used to detect the size and location of nanoparticles or nano-organisms on the surface, as well as their distance away from the surface, of the resonators. These are a number of examples presented, can allow for integrated optofluidic sensors.

### 4 Optical detection components

Important detection systems, which lab-in-a-tube systems rely on, are based on optics, whether it be an optical microscope for observation or an integrated optofluidic detector. With optics, by using a number of different devices, label-free biological detection can be performed. With regards to the types of devices which can be developed using rolled-up nanotech, a number of structures have been designed to function as optical ring resonators, optical fibers, and metamaterial devices. Another important aspect of these 3D structures is that not only can they have optical devices built in, but the hollow tubular architectures can also act as on-chip fluidic channels. This, as well be possible.
showing the potential applications of optical ring resonators for biological and chemical sensing for the lab-in-a-tube family.

4.2 Flexible split-wall microtube resonator sensors (F-SWµRS)

Another rolled-up optical resonator, which has been recently introduced and investigated for the detection of single cells, works on a slightly different sensing mechanism than those mentioned above. Rather than detecting changes in the surrounding index of refraction and particles on the surface of the resonator which lead to shifts in the WGMs, this sensor relies on an optomechanical detection of a cell’s presence through an improvement over the quality factor of the resonator. The quality factor is a measure of how well light resonates around the structure and is a measure of the sharpness of the modes, defined as \( Q = \frac{\lambda}{\Delta \lambda} \) (i.e. the sharper the peak in the spectrum, the higher the quality factor). The sensor discussed here is called a flexible split-wall microtube resonator sensor (F-SWµRS), and earns its name from an engineered nanogap which resides in the wall of the resonator. This nanogap, which is present between consecutive windings of the nanomembrane, is flexible and is forced closed when an oversized cell is brought within the confinement of the microtube.

Photoluminescence measurements are first performed on an F-SWµRS containing no cell, top Fig. 8(a). A cell can then be sucked into the microtube using the method described by Fig. 2 (here an embryonic mouse fibroblast). After the cell is forced into the microtube, the PL measurement is repeated, revealing an increase in the quality factor of \( \sim 20 \times \), bottom Fig. 8(a). This is explained schematically in Fig. 8(b). Because the cell is intrinsically larger than the microtube, once it is inside the microtube, it exerts an outward force on the tube wall, thereby closing the nanogap. The effect the closing of the nanogap has on the light confinement is modeled by finite-difference time-domain (FDTD) simulations, Fig. 8(c). It is evident from the intensity profile, that the light confinement is higher once the nanogap is closed. This is due to less light being scattered by the nanogap out of the microtube. This therefore demonstrates that mechanical interactions of a living cell with the microtube structure can be detected optically.

This type of detector was found to be reproducible, shown by a number of independent experiments. It was also shown that a single F-SWµRS could be used to measure consecutively captured cells. Once a cell is evacuated from the sensor, the nanogap slightly reopens, but there remains a memory of the previous cell. This could be used as a method for determining which lab-in-a-tube devices should be focused on after a culturing experiment, based on which had cellular activity during the experiment. Preliminary results showed that if a cell was left inside a resonator, and periodic measurements were performed, the \( Q \) factor began to decrease. This can be attributed to the cell beginning to spread out inside the tube. Further in situ measurements with such a sensor could help reveal when a cell is going into mitosis, morphing into a compact ball, or when it is about to undergo apoptosis (due to the cell going into rigor).

4.3 Microfluidic integration of rolled-up optofluidic ring resonators (RU-OFRR)

The microfluidic integration of label-free (bio)sensors is of paramount importance, all the way from analytical science up to the pharmaceutical industry.\textsuperscript{30,107,112–114} A number of standard techniques rely on using off-chip tapered waveguides to couple light into optical cavities, resulting in WGMs which can be used to detect changes in the environment.\textsuperscript{105,113} Standard 2D on-chip waveguides and resonators lack the ability of full optofluidic integration.\textsuperscript{115–117} In an effort to bring all components on-chip, recent results have been reported of coupling light from on-chip waveguides into rolled-up resonators,\textsuperscript{35} which, as was mentioned earlier, have an advantage to also be used as fluidic channels.\textsuperscript{101} An integration of a rolled-up optical resonator, being used as a fluidic channel, allows for the sensing of small fluid volumes (that pass through the microtube). This gives rise to a device known as a rolled-up optofluidic ring resonator (RU-OFRR).\textsuperscript{102} This integration allows for the ability to detect small changes in the liquid passing through the structure, if the inner wall of the resonator is in contact with the liquid and the outer wall is surrounded by air.\textsuperscript{118} This means that only sub-picolitre volumes of fluid are required for the label-free detection of the analytes. This integration is very useful since the entire fluidic channel is the sensor, maximizing the cross section of the detector; compared to the cross section a 2D resonator offers, resting at the bottom of a fluidic channel. This in turn, allows for a higher sensitivity: 2D on-chip resonators have been reported to exhibit sensitivities from 70 nm per RIU (refractive index units)\textsuperscript{115} up to most recently, 246 nm per RIU,\textsuperscript{117} whereas with 3D RU-OFRR devices, we have achieved sensitivities of 473 nm per RIU and higher.\textsuperscript{102}

We have developed a fabrication method to integrate RU-OFRR structures into microfluidic systems.\textsuperscript{102} Moreover, it was found that the RU-OFRRs maintain their high optical quality even after being embedded in an SU-8 polymeric structure, Fig. 9(a). The optical resonator (microtube) bridges an inlet and outlet fluidic channel. A viewport, surrounded by air, is positioned in the middle of the RU-OFRR, where the WGMs are measured. Due to the multiple rotations the microtube undergoes during fabrication, the wall of the resonator is relatively thick (about 250 nm thick SiO\(_2\)), but still subwave-length. Given the low index of refraction of air, the majority of
the light is confined to the higher index wall and the liquid flowing through the structure. The complete chip device consists of the RU-OFRR integrated into the microfluidic structure, sandwiched by a bottom glass substrate and a PDMS top layer with pinholes for connection to a microsyringe pump system. Fig. 9(b) depicts the PL spectrum of an integrated transparent microtube with no media flowing (air). (c) An image of yeast cells moving through the integrated transparent microtube (see ESI, video S1†).

Besides the pumping systems mentioned earlier, such as catalytic micropumps or μ-syringe pumping, the use of a classical external pressure controlled system offers another way to precisely manipulate the motion of objects or cells within a tubular structure as shown in Fig. 9(c) (see ESI, Video S1‡). In this particular example, two yeast cells are flown back and forth, using a precise pressure control, from the inlet and outlet of the microtube. This is another example that shows the capabilities of rolled-up microrobots being used as optofluidic (bio)sensors. Furthermore, these kinds of devices can be envisioned as new systems for in-flow cytometry.

4.4 Metamaterials

With the discovery of metamaterials, a new class of optics was defined, opening the doors to investigate new optical phenomena previously considered impossible. Metamaterials are man-made materials whose optical properties arise not only from the properties of the individual materials within, but also from the shape/lattice/orientation of the subwavelength structures making up the metamaterial and can be designed to manipulate light.\textsuperscript{32,119–122} A number of recent, particularly exciting, metamaterials are based on plasmonics.\textsuperscript{123} Plasmonics hinge on a phenomenon in which light couples to oscillating free electrons on the surface of a metal and dielectric, which leads to a propagation of light at shorter-than-free-space wavelengths. Because of these shorter wavelengths, plasmonics can be used to go beyond the diffraction limit of light which is possible, in one instance, with a device known as a hyperlens,\textsuperscript{124–131} discussed below in further detail. These plasmonic metamaterials can be created by multilayer-stacks composed of alternating metal and dielectric.\textsuperscript{129,130} The condition is that each bilayer in the stack is much smaller than the free space wavelength of light traveling through the structure ($d = f_m + f_d < \lambda_0$), where $d$ is the overall bilayer thickness and $f_m$ and $f_d$ are the thicknesses of the metal and dielectric, respectively.\textsuperscript{132} When the materials are combined on this length scale, and the structure is comprised of enough layers, the overall architecture can be approximated as a bulk anisotropic material with an effective permittivity, Fig. 10(a).

This effective permittivity is broken into two parts, the effective permittivity of the material perpendicular to the stacked layers is given by $\varepsilon_L = \varepsilon_r = (\varepsilon_m\varepsilon_d)(\varepsilon_m + \varepsilon_d)$ [radial permittivity for cylindrical structures], and the effective permittivity of the material in-plane to the stacked layers, $\varepsilon_i = \varepsilon_0 = (\varepsilon_m + \varepsilon_d\varepsilon_m)$ [tangential permittivity for cylindrical structures].\textsuperscript{132} Here, $\varepsilon_d$ and $\varepsilon_m$ are the filling ratio and $\varepsilon_d$ and $\varepsilon_m$ are the permittivity of the dielectric and metal, respectively.

Rolled-up nanotech is the perfect approach for creating these multilayer stacked structures, while at the same time reducing the number of processing steps required to make them. By simply rolling up a single bilayer of a metal and oxide (or semiconductor), one can create multilayer stacked metamaterials with a cylindrical geometry.\textsuperscript{29,31,32,52}

By guiding light, at particular wavelengths, radially through a cylindrical metamaterial which is composed of the right bilayer
combination of materials, the diffraction limit of light can be broken. The evanescent near field emission from an object resting on the inner surface of the tube excites and couples to surface plasmons in the metamaterial. If the metamaterial tube wall is thick enough, this coupling can result in a conversion of the free space evanescent wave into a propagating wave as it exits the structure, which can then propagate in free space and be picked up with classical optics. This is possible if transverse magnetic (TM) waves are being used, which leads to a dispersion relation \( k_r^2 = (k_0^2 \epsilon_0) + (k_z^2 \epsilon_z) \), where \( k_0 \) and \( k_z \) are the tangential and radial wave vectors respectively. Considering light that is traveling radially out through the structure, \( k_z \) is proportional to the wavelength at which the light travels in the metamaterial and \( k_r \) is proportional to the size of the transmitted object. Therefore, if a typical isotropic medium is considered for a lens \((i.e. \epsilon_0 = \epsilon_z)\), the isofrequency dispersion relation would be circular, which in turn defines the diffraction limit. If instead, an anisotropic metamaterial \((i.e. \epsilon_0 \neq \epsilon_z)\) is used as a lens, the isofrequency dispersion relation can be tuned to either a hyperbola or ellipse, both of which allow for the resolution of subwavelength objects lying on the inner surface of the lens. See ref. 31,125,126,128, and 131 for further details.

The hyperlens has been demonstrated experimentally in the form of a half-cylindrical structure\(^{129} \) and significant progress has been made for realizing a rolled-up version of the device.\(^{29,52} \)

Using a rolled-up hyperlens as a platform, an investigation was carried out to study at what wavelengths it would be possible to achieve hyperlensing.\(^{31} \) It was found that by using bilayer combinations of Al\(_2\)O\(_3\)/Ag and TiO\(_2\)/Ag, hyperlensing could be achievable over the entire visible spectrum (an important finding since research depends largely on visible light to observe cellular/biomatter behavior). In addition to this, a method for enhancing the output of the hyperlens was put forth based on impedance matching the tangential component of the effective permittivity of the lens to the surrounding media \([\sqrt{\epsilon_0} = n_{\text{medium}}]\), a technique known as immersion hyperlensing.\(^{31} \) The improved achievable resolution is highlighted in Fig. 10. Fig. 10 shows a hyperlens which is impedance matched to water, operating in air (b), and water (c). A cross section of the intensity, Fig. 10(d), at the imaging plane shows a much better resolution in water (which has a comparable index of refraction to cell culture media\(^{133} \)).

The optical microscope is a standard piece of equipment in biology labs; however, despite the greatness of this tool, it is unable to resolve biological details on the size smaller than the observation wavelength. The hyperlens is of very high importance to biological studies as it would allow for the optical \textit{in situ} observation of living organisms, revealing nanometre-sized details that have, until now, remained blurry.

### 5 Electrical components

Ultracompact electrical components could be extremely beneficial to the lab-in-a-tube system, as it would allow for many \textit{in situ} controlled analyses and manipulation of culture conditions. The expansion into the third dimension\(^{40,41,134} \) that rolled-up nanotech offers, enables researchers to decrease the final footprint of devices, which in turn makes it possible to increase the density of on-chip electronic devices. In order to have working electrical devices, it is important to design and fabricate electrodes which are rolled up with the functional layer, embedding them within the microtube. The demonstration of creating these electrodes was an impressive and important feat for the components of the rolled-up family and have been implemented for developing numerous electrical components including resistors,\(^{37} \) capacitors,\(^{30,41} \) superconductors,\(^{42} \) magnetic sensors\(^{55} \) and heating elements.\(^{54} \) This section will give an overview of a number of these rolled-up electrical components which are important to the future of lab-in-a-tube.

#### 5.1 Electrical sensing

One of the first rolled-up components to be characterized electrically\(^{38} \) were rolled-up resistors.\(^{37} \) These resistors were formed using highly B doped SiGe/Si bilayers which were released by selectively etching away an undoped Si sacrificial layer, insets of Fig. 11(a). It was found, that by tuning the active bilayer thickness, the resulting resistance of the microtube could be easily changed from 9 up to 110 k\( \Omega \).

Furthermore, rolled-up integrated electrodes inside the microtubular structures can be employed to record currents from neurons or other cells. The application of a voltage from the integrated electrodes may trigger the opening of transmembrane voltage-gated channels such as potassium channels. The motion of the ions through the cellular membrane would then be collected as a current by the second electrode. Substituting typical two electrode voltage-clamp or patch-clamp electrodes by lab-in-a-tube microsystems, with integrated electrodes, might improve the recording efficiency and offer the possibility to record currents at several points located in close vicinity to the specimen. This is of great interest in order to better understand neuronal transmission, especially due to the heterogenic expressions of the different channels involved in action potential generation and transmission.\(^{135,136} \)

#### 5.2 Energy storage devices

A number of energy storage devices have been realized through rolled-up nanotech including bulk composites for Li ion batteries,\(^{39} \) off-chip redox-based micro-supercapacitors (using RuO\(_2\) active layers)\(^{137} \) and ultracompact integrated on-chip capacitors.\(^{40} \) The on-chip electrostatic capacitors are of special interest because they were created with non-toxic compounds. This work introduced a method in which an inorganic sacrificial
layer (Ge) is used, which can be removed in a harmless aqueous solution. The roll-up of the structure creates a mechanical contact between the bottom metal layer and the top oxide layer. This leads to an increase in the final capacitor area. When numerous rotations are performed, this added area allows the device to mimic two planar capacitors connected in parallel. The final capacitance of the 3D structure can be calculated as \( C_{3D} = C_{2D}(2 - 1/N) \), where \( N \) is the number of windings, \( C_{3D} = \kappa_{ox} \kappa_{ox}/A_{2D}/\delta_{ox} \) (where \( \delta_{ox} \) and \( \kappa_{ox} \) are the oxide thickness and relative dielectric constant and \( A_{2D} \) is the area of the 2D structure that is rolled up).\(^50\) This equation was confirmed experimentally to reveal a capacitor structure that held a capacitance on the order of \( 2 \times 10^6 \) per footprint from the device footprint of \( \sim 25 \times \) and a \( 2 \times \) increase of the capacitance, thereby resulting in an increase in the capacitance per footprint from \( \sim 1.3 \) to \( \sim 200 \mu F \ cm^{-2} \).

The relevance of this work to lab-in-a-tube lies in the new method for roll-up in biologically harmless solution, a point not previously established, as well as the energy storage aspect. Since it is of interest to have resistive\(^57\) and current measurements in close proximity to the biomatter, taken from built-in sensors, as well as heating elements\(^54\) for local regulation of the temperature, it would also be important to have an all internal power supply for these components. The rolled-up system would allow for the lab-in-a-tube device to be directly connected to a nearby rolled-up capacitor or battery, supplying it with the necessary power.

5.3 Heating elements

Microfluidic devices are particularly well-suited to be developed with rolled-up nanotech due to the possibility of creating active devices by means of electrical and thermal functionalization of the tubes, in combination with special organic filling materials. Temperature-sensitive hydrogels are able to change their swelling state if a lower critical solution temperature (LCST) is exceeded.\(^138\) This effect can be used to realize valve-like devices for microfluidics.\(^139\)

An important step towards realization of this concept, by fabrication of compact rolled-up heater devices, for fluidic applications using rolled-up nanotech, was recently reported.\(^54\)

The heating elements on the surface of these devices should provide a temperature above the LCST value. Therefore, for the application of this work, three key layers are needed: (i) a heating layer, (ii) a temperature sensing layer and (iii) a thermal, highly conducting layer for fast thermal transport, in order to obtain a fast temporal response of the devices. In the device architecture, an additional material was introduced for strain generation, which, at the same time, acts as a thermal conductor in the working regime of the device.

Infrared measurements were carried out as follows: the heater voltage was increased at a rate of \( 0.05 \) V s\(^{-1} \) from zero up to 12 V. The planar heater generates an extended temperature field with a large diameter, Fig. 12(a), whereas the rolled-up device produces heat almost exclusively within the volume taken up by the rolled-up layer stack, Fig. 12(b), providing extremely localized heating. The behavior of the planar heater can be explained by the high isotropic thermal conductivity of the silicon wafer used as a substrate and being in intimate contact with the deposited layers. In contrast, the rolled-up structure has only a line-shaped contact with the substrate, thus suppressing the thermal conductivity to the substrate.

By switching the current ON and OFF, the reaction of the heater element to periodic changes in the power supply was investigated. In the temperature vs time diagram recorded at the center of the rolled-up heater, it was observed that the temperature follows the heating power at a very fast rate, on the order of \( 0.04 \) s, Fig. 12(c). The reason for this excellent dynamic characteristic can be explained by the thermal decoupling of the tube-like heater from the substrate and by the high thermal conductivity of the auxiliary CuNiMn rolled-up film. This system is also well-suited for a localized incubation study on individual cellular and bacterial growths.

5.4 Magnetic sensors

Recently, rolled-up nanotech was employed to fabricate compact cylindrical magnetic sensor devices,\(^55\) which can be straightforwardly integrated into existing fluidic architectures, Fig. 13(a). Functional nanomembranes, consisting of a magnetic sensor element based on 30 multilayers of [Py/Cu] revealing GMR, were...
rolled up. The sensor’s characteristics before and after the roll-up process were found to be similar, Fig. 13(b), allowing for a reliable and predictable method to fabricate high quality ultracompact GMR devices. The performance of the rolled-up magnetic sensor was optimized to achieve high sensitivity to weak magnetic fields, as required in biomedical applications (in the range of 0.1 mT): (i) the GMR multilayers were coupled in the second antiferromagnetic maximum, providing high sensitivity to low magnetic fields and (ii) the sensors were arranged in a Wheatstone bridge configuration, leading to an improved differential sensitivity and thermal stability.

As was also demonstrated earlier, the rolled-up tube itself can be efficiently used as a fluidic channel, while the integrated magnetic sensor provides an important functionality to detect and respond to a magnetic field. The performance of the rolled-up magnetic sensor for the in-flow detection of ferromagnetic \( \text{CrO}_2 \) nanoparticles embedded in a biocompatible polymeric hydrogel shell was highlighted, Fig. 13(c). This sensing concept could easily be extended to detect magnetically functionalized organisms or magnetotactic bacteria.\(^{140,141} \) The advantages of this device are intriguing: (i) the sensor covers part of the inner wall of the fluidic channel, and as such, is positioned in the closest possible vicinity to the flowing objects. This increases the signal to noise ratio of the device compared to the case when the sensor is positioned outside of the channel. (ii) The rolled-up geometry makes the sensor sensitive to magnetic stray fields of the particles under study in virtually all directions. This avoids implementation of an external magnet to align the magnetic moment of the particle relative to the position of the sensor. Both these aspects are crucial for efficient and successful in-flow detection of magnetic objects.

This approach could be beneficial for efficient biodetection of protein structures,\(^{142} \) diagnostics of diseases,\(^{143} \) counting and sorting of living cells with internalized magnetic nanoparticles,\(^{144,145} \) or functionalized nanocontainers.\(^{146,147} \) The advantage of rolled-up magnetic devices\(^ {55,148–152} \) is their straightforward integrability into existing on-chip technologies and the ability to combine several functions into a single architecture, possibly leading to a fully operational lab-in-a-tube system.

6 Conclusions

In summary, we have reviewed the recent advances in ultracompact, rolled-up components which can be, and are planned to become, an integral part of lab-in-a-tube total analysis systems. An overview of what lab-in-a-tube is and what advantages it brings to the biosensing and exploration of organisms was highlighted in a number of ways. These advantages include arrays of 3D microtubular scaffolding which can be functionalized to mimic the ECM for the culture and investigation of individual organisms. Each microtube would have a number of components integrated within to make important electrical, magnetic and optical in situ observations.

Various off-chip and on-chip methods for the capture of biomaterial within the confinements of the microtubes were presented. These comprised microsyringe, micropump, optical trap, and chemical pumping systems. Numerous cellular culture studies have been performed over the past years in conjunction with the 3D scaffolding structures. These have included (i) the study of microtube size dependence on the proliferation of yeast cells; (ii) the micro organization, based on tubular arrays, provided for neuron cell networks; (iii) as well as detailed study of HeLa cell proliferation at various stages of growth within functionalized microtubes.

On the detection side, a review of the optical, electrical and magnetic components, which have been investigated and can be incorporated into lab-in-a-tube, was given. Optical sensors based on optical ring resonators were shown to be capable of detecting differences in the surrounding media through shifts in WGM peaks and the optomechanical detection of oversized fibroblasts was demonstrated with F-SW\( \mu \)RS. Metamaterials also show promise in the near future for the ability to manipulate light and reveal subwavelength details about the objects of interest with devices such as the hyperlens. With the integration of electrodes into rolled-up structures it was possible to create a number of electrical devices. Resistive changes of organisms could potentially be monitored with built-in resistors. Heating devices could be used for either creating valves in microfluidic systems or studying proximity incubation effects in single cell growth. Magnetic sensors were introduced which demonstrated the ability to detect stray magnetic fields from microobjects passing through the rolled-up architectures. Given that all of these electrical devices would need some form of power supply, a look at cutting edge, rolled-up capacitors was given as well. It is important to point out that, although electrochemical methods are not presented in this work, extensive work towards the use of electrodes for manipulation, stimulation and detection of molecules and organisms is currently being explored using the lab-in-a-tube platform.

Lab-in-a-tube offers a great opportunity in both reducing the size of lab-on-a-chip systems as well as allowing for a large number of data points taken from individual organisms under similar growth conditions. The next step which needs to be taken in this exploration is to further construct rolled-up systems which
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