Reconfigurable Vanadium Dioxide Nanomembranes and Microtubes with Controllable Phase Transition Temperatures

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ABSTRACT: Two additional structural forms, free-standing nanomembranes and microtubes, are reported and added to the vanadium dioxide (VO2) material family. Free-standing VO2 nanomembranes were fabricated by precisely thinning as-grown VO2 thin films and etching away the sacrificial layer underneath. VO2 microtubes with a range of controllable diameters were rolled-up from the VO2 nanomembranes. When a VO2 nanomembrane is rolled-up into a microtubular structure, a significant compressive strain is generated and accommodated therein, which decreases the phase transition temperature of the VO2 material. The magnitude of the compressive strain is determined by the curvature of the VO2 microtube, which can be rationally and accurately designed by controlling the tube diameter during the rolling-up fabrication process. The VO2 microtube rolling-up process presents a novel way to controllably tune the phase transition temperature of VO2 materials over a wide range toward practical applications. Furthermore, the rolling-up process is reversible. A VO2 microtube can be transformed back into a nanomembrane by introducing an external strain. Because of its tunable phase transition temperature and reversible shape transformation, the VO2 nanomembrane-microtube structure is promising for device applications. As an example application, a tubular microactuator device with low driving energy but large displacement is demonstrated at various triggering temperatures.

KEYWORDS: Strain engineering, nanomembranes, vanadium dioxide, actuator, microtubes, phase transition

Vanadium dioxide (VO2) displays a profound phase transition between metallic and insulating character, giving it various potential applications in microelectronics and photonics.† The electronic, optical, and mechanical properties of VO2 undergo a dramatic change across the phase transition, which can be used for domain switches,‡ mid-infrared sensors,§ stress sensors,¶ and artificial muscles.¶ The phase transition of pristine VO2 materials occurs at approximately 340 K, but the phase transition temperature is strongly influenced by external strain.¶ It was reported that the phase transition temperature decreased almost 50 K (from 341 to 294 K) upon introducing an external compressive strain as small as 1.5%.¶ Although various structural forms in the family of VO2 materials, such as bulk crystals,¶ thin films,¶ nanowires/nanobeams,¶ and nanoparticles,¶ have been widely studied, research into strain engineering has been primarily focused on VO2 nanobeams and thin films. VO2 nanobeams are useful for fundamental studies, but their scale up for device applications is not practical. VO2 thin films, though useful for large-scale device fabrication and production, do not have an ideal structural form for strain engineering research and device applications. High-crystalline VO2 thin films crack when a certain amount of strain is introduced, and the cracking is generally nonreversible, which becomes the main issue in constructing phase transition electrical or optical devices applicable over a broad temperature range.¶,¶,¶,¶ VO2 thin films with the highest crystallinity are epitaxially grown on TiO2 substrates; however, they always crack across the phase transition during heating or cooling processes.¶,¶ One possible approach to maintaining the advantages of VO2 thin films for large-scale device production while overcoming the cracking problem is to suspend the VO2 thin film from the substrate underneath to form a free-standing nanomembrane. In this way, the strain can be relaxed therein without cracking the VO2 nanomembrane.

Here, we demonstrate a feasible process to fabricate VO2 nanomembranes, to roll a nanomembrane up into a VO2 microtube, and to reversibly expand the microtube back into a VO2 nanomembrane. The ultrathin VO2 nanomembranes are transferrable and reconfigurable as potential building blocks for applications in phase transition electronics, photonics, and mechanics as well as reconstructable to tubular structures with controllable phase transition temperatures. The roll-up fabrication method is developed with the goal to construct...
three-dimensional mesostructures through origami of the nanomembrane system toward device applications. The rolled-up microtubes are formed spontaneously through the mechanism of strain relaxation after releasing the pretrained nanomembranes from substrate, resulting in a redistribution of the competitive relationship among the strained layer.14–18 The rolled-up tubular mesostructure provides a wide range of potential applications, ranging from lab-in-a-tube17 and on-chip energy storage18,19 to optical microcavities20,21 and micro/nanomotors.22 The requirement of fabricating inorganic10,14,18 and organic15,16 membranes on the scale of several to tens of nanometers is vital to the rolling-up process. Only when the membrane reaches such thinness can they be designed in 3D mesostructured14,23 and flexible devices,5,24 which are difficult or impossible to fabricate using 0D and 1D nanomaterials. As the outstanding phase transition properties of VO2 have attracted great attention for microelectronic and optoelectronic devices,25–27 it would be intriguing to develop devices based on VO2 nanomembranes. Small-area VO2 membranes were previously fabricated in a localized region by completely etching away the thick substrate from the backside of the substrate with a standard solid oxide fuel cell (SOFC) process;28 however, the membrane is not completely suspended or free-standing because the majority of the area of the VO2 thin film is still closely attached to the substrate (except the backside hole region); hence, the strain therein cannot be fully relaxed. Furthermore, the SOFC-like fabrication process is complicated, time-consuming, and inefficient, and buckling is inevitably introduced to the VO2 membranes in the fabrication process, which hinders their practical applications for functional devices and large-scale integration.

In this work, the thinning method and self-rolling-up nanotechnology are combined, and a bimorph based on an ultrathin VO2 nanomembrane is fabricated, which is constructed in microtubular structure due to the low bending stiffness of the bilayer nanomembrane system. The rolled-up tubular structure can incorporate fault-tolerant methodologies29 because a change in strain in the nanomembrane redistributes the strain inside the bilayer nanomembrane and leads to an additional compressive strain in the outer layer consisting of VO2, which can be tuned via the deposition thickness of the structural layer. Through such strain engineering, the threshold voltage or temperature to trigger the phase transition can be decreased by controlling the diameter of the tube. Finally, a tubular microactuator with strain compensation, low driving energy, and large displacement is fabricated with different triggering temperatures. Our work provides a new platform to engineer and self-assemble VO2 nanomembranes with reconfigurable tubular structures for advanced microelectromechanical systems, nanoelectromechanical systems, and micro-opto-electro-mechanical systems.

The schematic of the microfabrication process is illustrated in Figure 1a. First, a 200 nm-thick VO2 film was deposited by sputtering on a SiO2(300 nm)/Si substrate. A cross-sectional scanning electron microscopy (SEM) image illustrating the heterostructure stack is shown in Figure 1b. The polycrystalline VO2 thin film has a columnar structure with an average grain size on the order of ~100 nm. In the second step, fluorine-based plasma etching was carried out to thin VO2 to the desired thickness. In the fluorine-based plasma etching method, a reactive gas interacts with the surface through a chemical etching process. Compared with the commonly reported Ar ion beam-based VO2 drying process,30 which inevitably imparts surface damage to the VO2 layer due to the physical etching process, the fluorine-based plasma etching method shows negligible damage to the VO2 layer.30 The structural and morphological properties of the ultrathin nanomembranes were investigated by cross-sectional transmission electron microscopy (TEM), as shown in Figure 1c. The smooth surface and clear boundary indicate that no surface damage was produced on the VO2 nanomembrane, which confirms the high quality of the nanomembranes. The polycrystalline nature of the VO2 layer was observed in the high-resolution TEM image (Figure S1) and further confirmed by selected area electron diffraction (SAED, inset of Figure 1c). In the third step, the VO2 nanomembrane is released from the substrate by removing the SiO2 layer using a diluted hydrofluoric acid solution, as shown in Figure 1d. Driven by its intrinsic stress gradient, the free-standing structure bends into a tube, as shown in Figure 1e.

Figure 1f shows the bending rigidity as a function of thickness for three different materials. At the same thickness, the bending rigidity of VO2 is higher than that of Si but lower than that of diamond,14 described as a hard material by its high Young’s modulus (140 GPa). In our experiment, the thinning of the VO2 nanomembrane reduces its flexural rigidity (shown as the red area in Figure 1f), which allows the formation of three-dimensional nanostructures. To study the phase transition properties of the VO2 nanomembrane before and after the reactive ion etching (RIE) process, we measured the resistance as a function of temperature in VO2 films with different thicknesses, as presented in Figure 1g. Across the phase transition at 340 K, the VO2 nanomembrane with a thickness of 28 nm exhibits a drastic change in resistivity by over two orders of magnitude, to almost the same level as the thin film. In addition, it is noted that the resistance of VO2 in the insulating state is slightly lower for the thinner VO2 membrane compared with the thicker membrane. This decrease
in resistance is likely attributed to the inhomogeneous oxygen stoichiometry when VO₂ is exposed to O₂ plasma, which introduces defect states in the VO₂ band gap. With increasing temperature, the resistances of both samples drop slowly but do not become equal until the temperature is close to the phase transition temperature. During the phase transition, the electrical conductivity of the VO₂ transition temperature. The experimental results of tuning the Cr thickness are plotted on the curve (I, II, III) according to the corresponding Cr thickness. The upper inset shows the formation mechanism for the strain-induced self-rolling of the Cr/VO₂ bilayer. The lower inset shows the SEM image of the corresponding sample. (d) Mapping of the bending-induced VO₂ strain related to the thickness of the Cr layer with different initial strains. Spots I, II and III are the same samples as in panel c. The VO₂ strains of I, II and III are calculated to be 0.5%, 0.39%, and 0.2%, respectively.

As the Cr/VO₂ bimorph rolls into a tubular structure with a tunable diameter, the strain inside the bimorph is redistributed according to the Timoshenko formula. The lower inset of Figure 2c shows the SEM images of the corresponding sample. Additional SEM images of the corresponding samples with different diameters are shown in Figure S3. As reflected by the corresponding data points in Figure 2c, the experimental results are in good agreement with the theoretical curves.

As the Cr/VO₂ bimorph rolls into a tubular structure with a tunable diameter, the strain inside the bimorph is redistributed in the vertical direction. Generally, the outer wall of the tube generates compressive strain, while the inner wall generates tensile stress. The interface between the tensile layer and
compressive layer is defined as the neutral plane, where the strain is zero without any deformation.\textsuperscript{35} In the Cr/VO\textsubscript{2} bimorph system, the outer VO\textsubscript{2} layer typically suffers from compressive strain, depending on the neutral plane and geometrical deformation. More detail is shown in Figure S4. The influence of the rolling diameter, which is determined by the Cr thickness and the initial strain, on the VO\textsubscript{2} nanomembrane strain redistribution was calculated, as shown in Figure 2d. It is clear that increasing the initial strain results in a small diameter. Meanwhile, the thinner Cr layer reduces the rigidity of the bilayer, also leading to a small diameter. As a consequence, the smaller diameter allows the VO\textsubscript{2} layer to stretch more, reaching a higher compressive strain state. The experimental results shown in Figure 2c are also plotted in Figure 2d according to the corresponding Cr initial strain and thickness. In this way, we evaluated that the changes in the average compressive strain in these three samples (I, II, III) are 0.5\%, 0.39\%, and 0.2\%, respectively. This VO\textsubscript{2} strain redistribution through nanomembrane bending may provide an effective way to tune the VO\textsubscript{2} phase transition temperature.

The phase transition of the fabricated bimorph system was examined by measuring the electrical properties. The change in the electronic structure across the phase transition is accompanied by a several orders of magnitude change in the electrical resistivity of VO\textsubscript{2}, which exhibits a conductance switching phenomenon.\textsuperscript{36,37} This transition can be triggered by global heating or by Joule heating induced by a current flow. In our experiment, a dielectric thin Al\textsubscript{2}O\textsubscript{3} (100 nm) layer was coated on four devices of types I, II, III (shown in Figure 2), and IV (planar bimorph as a reference) using atomic layer deposition (ALD, Figure S5) to fix the strain of the bimorph. Without ALD coating to fix the strain, the I−V characteristics show a slight nonlinear behavior and a high phase transition temperature (Figure S6). The SEM images of these four devices are shown in Figure 3a, which reveal that the bimorph is rolled-up into tubes with different diameters. Thus, the redistributed strain in the VO\textsubscript{2} nanomembrane is different, as depicted in each image in Figure 3a. A voltage is applied through two microprobds that puncture the brittle Al\textsubscript{2}O\textsubscript{3} layer and attach to the surface of Cr, and an electric field is established across the gap, allowing a small current through the insulated VO\textsubscript{2} nanomembranes. Figure 3b illustrates the I−V characteristics of the devices with different compressive strains modified by diameter. All devices exhibited the conductance switching phenomenon of a sharp rise in current, reflecting the fast transition of VO\textsubscript{2} from a high resistivity state to a low resistivity state when the voltage was increased above the threshold point (V\textsubscript{ON}, I\textsubscript{ON}). Furthermore, V\textsubscript{ON} monotonically decreased with increasing compressive strain. On the other hand, the corresponding change in V\textsubscript{ON} implied that the compressive strain was successfully redistributed in the ultrathin VO\textsubscript{2} nanomembrane. The lowest V\textsubscript{ON} was 3.33 V for the device with 0.5\% compressive strain, while the highest V\textsubscript{ON} was 5.18 V for the strain-free device. A similar conductance switching phenomenon has been reported for a VO\textsubscript{2} nanobeam with a thickness of 500 nm and width of 1 \textmu m.\textsuperscript{2} By comparing the V\textsubscript{ON} of the two types of devices, nanobeam and nanotube, our devices showed a lower V\textsubscript{ON} at the same compressive strain state, implying that a lower energy was required for the phase transition. We attribute this phenomenon mainly to the distribution of Joule heating between parallel Cr electrodes, which is simulated in the inset of Figure 3b. In addition, the ultrathin VO\textsubscript{2} nanomembrane requires less energy to be heated compared with the thicker film or bulk VO\textsubscript{2}.

The reduction in the resistivity change of the representative four devices with various strain states was also investigated, and the resistivity versus temperature plot is shown in Figure 3c. Here, instead of heating by electrical current, by which an accurate temperature is hard to obtain, heating by hot plate was applied. At the same time, the resistance of the bimorph was measured by applying a tiny voltage with negligible heating effect. By comparing the resistance data presented in Figure 3b with those in Figure 3c, a large discrepancy can be observed, which could be due to the different fractions of conductive areas in the metal-phase VO\textsubscript{2} films when global heating (baking) and Joule heating are adopted (details are shown in Figure S7). It is noted that the resistances increase with decreasing strain when VO\textsubscript{2} is in the metallic phase, as shown in Figure S8, which is due to the R-O phase transition in different strain states.\textsuperscript{38} Our device with 0\% compressive strain (black line in Figure 3c) presents a similar curve to the original ultrathin VO\textsubscript{2} nanomembrane (Figure 1g). In addition, the phase transition temperature decreases with increasing redistributed compressive strain in VO\textsubscript{2}. This shows that the phase transition temperature can be tuned to 329 K by applying
0.5% compressive strain. In the heating process, the resistance of device I starts to deviate from the insulating state at 318 K and reaches a stable, metallic state that is three orders of magnitude lower than that of the insulating state at room temperature. Upon cooling, the resistance gradually increases at 330 K and returns to the original resistance at 308 K. Moreover, the ultrathin VO$_2$ nanomembrane demonstrates a smaller hysteresis width than the thick film, which is useful for high-speed switching devices. The heating and cooling cycles of other devices are shown in Figure S9. Furthermore, we compared our experimental results to the VO$_2$ phase diagram, which shows the VO$_2$ phase related to the strain and temperature. The comparison is illustrated in the inset of Figure 3c. The experimental results are in good agreement with the phase transition temperature obtained from phase diagram according to the distributed strain. A slight decrease in the measured phase transition temperature is observed in our devices due to the decrease in the phase transition temperature caused by the thinning of the VO$_2$ nanomembrane.

As previously mentioned, the bimorph was coated with Al$_2$O$_3$ to fix the redistributed strain to obtain an accurate relationship between the phase transition temperature and the VO$_2$ strain. The phase transition of VO$_2$ will generate 1–2% compressive strain during the transition from the insulating phase to the metallic phase, which can be applied in actuators driven by heat. Such heating effect can be demonstrated by direct baking (in an oven or on a hot plate) or Joule heating by electrical current. We proved that both cases can be applied to our bimorph device, and direct heating was adopted for demonstration. Figure 4a, showing individual captures of Supplementary Movie 1, shows the actuating behavior of the VO$_2$/Cr bimorph device with temperature change. Initially, the nanomembrane rolls into a tubular structure (red square in Figure 4a) due to the strain gradient generated by the deposited Cr layer. With increasing temperature, VO$_2$ undergoes a phase transition, leading to additional compressive strain in the outer layer of the tubular structure. Therefore, the diameter increases and the tubular structure collapses to a planar nanomembrane when VO$_2$ transforms into the metallic phase. Then, the heating stops, and the system starts to cool to room temperature. VO$_2$ suffers from an opposite transition, so the bimorph rolls into a tubular structure again. The quantitative analysis of the curvature (the reciprocal of the diameter) change as a function of temperature is illustrated in Figure 4b. The tested samples are the same as those in Figure 3a, denoted I, II, III and IV. Each device undergoes a heating–cooling cycle to obtain a hysteresis curve, as illustrated in Figure 4b. The initial curvature of each is different due to the different VO$_2$ compressive strain redistribution. Each device undergoes a strain change generated from the phase transition, but such nanomembranes can only curve downward slightly because of the limited space underneath the substrate. It is observed that the curvature of the VO$_2$/Cr bimorph changes by $4.5 \times 10^4$ m$^{-1}$ or more, which is much better than existing VO$_2$ microactuators. This large change in curvature is attributed to the low bending stiffness of ultrathin VO$_2$, which makes the required actuating energy very low. Moreover, for different devices in different strain states, the change in curvature occurs at a different temperature, reflecting the phase transition temperature variation due to compressive strain in VO$_2$. Also, this temperature shift is in agreement with the previous electrical testing of a fixed bimorph, while the transition point shifts to higher temperature as a result of compressive strain relaxation when the structure is not fixed.

In conclusion, a free-standing ultrathin VO$_2$ nanomembrane is fabricated by a thinning process and etching of an underlying sacrificial layer. The self-rolling of the ultrathin VO$_2$ nanomembrane is achieved via the additional deposition of a strained Cr layer to build a Cr/VO$_2$ bimorph. As a consequence of the rolling-up of the ultrathin Cr/VO$_2$ bimorph, the strain inside the bilayer nanomembrane system is redistributed, which leads the outer layer of the ultrathin VO$_2$ to suffer from additional compressive strain. A theoretical model is put forward to map the redistributed VO$_2$ strain related to the thickness of the deposited Cr and the initial strain, which indicates the tunability of our rolled-up microtubular structure. The redistributed strain, which can be tuned from 0% to 0.5% by varying the tube diameter, lowers the phase transition temperature from 341 to 329 K, as well as the phase transition threshold voltage. Without fixing the tubular structure, the Cr/VO$_2$ bimorph can reversibly transform between the rolled-up tubular structure and planar structure by changing the temperature. The driving temperature can also be tuned by tuning the redistributed compressive strain. Benefiting from the low bending stiffness of the ultrathin nanomembrane, this actuator requires low energy to trigger the actuating behavior, and a curvature change of more than $4.5 \times 10^4$ m$^{-1}$ is observed in our system. Our work presents new structural forms of VO$_2$ materials, that is, VO$_2$ nanomembranes and microtubes, as well

![Figure 4. Actuating behavior of the Cr/VO$_2$ bimorph devices triggered by temperature. (a) Optical images of rotation of the tube at various temperatures in a heating–cooling cycle. The dark part is the bimorph rolling into a tube (red rectangle) at low temperature and flattened into a rectangular nanomembrane. The scale bar is 30 μm. (b) Dependence of the Cr/VO$_2$ bimorph curvature at various strains on the temperature across the phase transition. The rolled bimorph flattens when the transition temperature is dependent on the compressive strain. The dark line presents no change in curvature because the flat nanomembrane is attached to the substrate.](Image)
as a new platform to study strain engineering in VO₃ materials along with their device applications. A high-performance microactuator device self-assembled from VO₂ nanomembranes is demonstrated.

The proposed concept of free-standing and tubular nanomembranes could create a bridge between material properties and architecture geometry for materials research involving assembly and self-assembly, which could allow the application of two-dimensional (2D) concepts to conventional material platforms. The strategy we considered here requires only the lift-off technique and strain engineering for target materials. For example, to adjust the quantum structure, researchers have put great effort into tuning the strain to obtain desirable electronic properties, but the examined host substrates are limited, which could be overcome using nanomembranes. To process conventional materials, their substrates are limited, which could be overcome using nanomembranes. To demonstrate on VO₂ exemplifies our vision of free-standing and tubular nanomembranes for other inorganic materials as well as 2D materials.

ASSOCIATED CONTENT

S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.nanolett.8b00483.

Morphology of thinned VO₂ membranes; fabrication process; morphology of thin VO₂ bimorph nanomembranes; calculation of bending rigidity; Al₂O₃ coating; properties of Cr/VO₂ bimorph structures (PDF)

Movie showing actuating behavior of microactuators triggered by temperature (AVI)

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Author Contributions

L.-T. and B.X. contributed equally. Y.M. and Z.Y. conceived the concept. L.S. and Z.Y. optimized the VO₂ thin-film growth conditions, and B.H. performed the VO₂ thin-film growth under the supervision of Z.Y. Z.T. and B.X. carried out the fabrication and characterization of the VO₂ nanomembranes and microtubes, Z.T. measured the actuating properties, and Z.T. and B.X. performed the theoretical modeling and numerical simulations under the supervision of Y.M. Z.T., B.X., Z.Y., and Y.M. cowrote the manuscript. All authors discussed the results and commented on the manuscript.

Notes

The authors declare no competing financial interest.

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